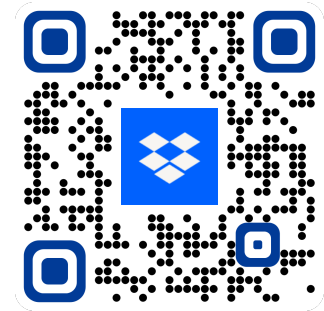


# ESQC Mathematics

## Lecture 2

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# Matrices

We pick up from last time

## Quick recap: Linear operator as matrix

$$A(\mathbf{x})_i = \sum_{j=1}^n A_{ij}x_j$$

$$\mathbf{x} = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ \vdots \\ x_n \end{bmatrix}$$

$$A = \begin{bmatrix} A_{11} & A_{12} & \cdots & A_{1n} \\ A_{21} & A_{22} & \cdots & A_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ A_{m1} & A_{m2} & \cdots & A_{mn} \end{bmatrix}$$

$$A(\mathbf{x}) = \begin{bmatrix} A_{11} & A_{12} & \cdots & A_{1n} \\ A_{21} & A_{22} & \cdots & A_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ A_{m1} & A_{m2} & \cdots & A_{mn} \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{bmatrix}$$

## Space of matrices

- A matrix is a table

# rows      # cols

$$A \in \mathbb{F}^{m \times n} = M(m, n; \mathbb{F})$$

$$m \left\{ A = \begin{bmatrix} A_{11} & A_{12} & \cdots & A_{1n} \\ A_{21} & A_{22} & \cdots & A_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ A_{m1} & A_{m2} & \cdots & A_{mn} \end{bmatrix} \right.$$

- Vectors are also matrices!

$$\mathbf{x} \in \mathbb{F}^n = \mathbb{F}^{n \times 1} = M(n, 1; \mathbb{F})$$

## Matrix—matrix product

- $C(\mathbf{x}) = A(B(\mathbf{x}))$  is a linear operator.

### Definition : Matrix product

Let  $A \in M(n, m, \mathbb{F})$  and  $B \in M(m, o, \mathbb{F})$ . Then the *matrix product*  $C = AB \in M(n, o; \mathbb{F})$  is defined by the formula

$$C_{ik} = \sum_{j=1}^m A_{ij}B_{jk}. \quad (1)$$

The matrix product satisfies:

1.  $A(BC) = (AB)C$  **associativity**
2.  $(A + B)C = AC + BC$  and  $A(B + C) = AB + AC$  **distributivity**

However, the matrix product is *not commutative*, i.e.,  $AB \neq BA$  in general!

## Computing the matrix product

$$AB = C$$

$$C_{ij} = \sum_k A_{ik}B_{kj}$$

$$\begin{bmatrix} A_{11} & A_{12} & \cdots & A_{1n} \\ A_{21} & A_{22} & \cdots & A_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ A_{m1} & A_{m2} & \cdots & A_{mn} \end{bmatrix} \begin{bmatrix} B_{11} & B_{12} & \cdots & B_{1o} \\ B_{21} & B_{22} & \cdots & B_{2o} \\ \vdots & \vdots & \ddots & \vdots \\ B_{n1} & B_{n2} & \cdots & B_{no} \end{bmatrix} = \begin{bmatrix} C_{11} & C_{12} & \cdots & C_{1o} \\ C_{21} & C_{22} & \cdots & C_{2o} \\ \vdots & \vdots & \ddots & \vdots \\ C_{m1} & C_{m2} & \cdots & C_{mo} \end{bmatrix}$$

Also, since  $\mathbf{x}$  is a matrix, we write

$$A(\mathbf{x}) = A\mathbf{x}$$

## Important matrix operations

- *Transpose:*

$$(A^T)_{ij} = A_{ji} \quad \begin{bmatrix} 0 & 1 \\ i & 2 \\ 0 & -1 \end{bmatrix}^T = \begin{bmatrix} 0 & i & 0 \\ 1 & 2 & -1 \end{bmatrix}$$

- *Hermitian adjoint:*

$$(A^H)_{ij} = \overline{A_{ji}} \quad \begin{bmatrix} 0 & 1 \\ i & 2 \\ 0 & -1 \end{bmatrix}^H = \begin{bmatrix} 0 & -i & 0 \\ 1 & 2 & -1 \end{bmatrix}$$

- *Inner product as matrix product:*

$$\langle \mathbf{x}, \mathbf{y} \rangle = \mathbf{x}^H \mathbf{y} \quad \left[ \dots \quad \mathbf{x}^H \quad \dots \right] \begin{bmatrix} \vdots \\ \mathbf{y} \\ \vdots \end{bmatrix}$$

## General finite-dimensional vector spaces

With several examples

## Space of polynomials

$$P_n = \{f : [0, 1] \rightarrow \mathbb{C} \mid f(x) = a_0 + a_1x + a_2x^2 + \cdots + a_nx^n, \mathbf{a} \in \mathbb{C}^{n+1}\}$$

- Polynomials of degree  $\leq n$
- A *function space*
- Show: Jupyter notebook
- Differentiation operator ( $n = 4$ )

$$\hat{D}x^i = ix^{i-1} \quad D_{ji} = i\delta_{j,i-1}, \quad D = \begin{bmatrix} 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 2 & 0 & 0 \\ 0 & 0 & 0 & 3 & 0 \\ 0 & 0 & 0 & 0 & 4 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

looks like a basis!

dimension

we see that we get a matrix!

## Space of matrices

- The space  $M(n)$  of *square matrices (over some field)* is a vector space

$$(A + B)_{ij} = A_{ij} + B_{ij}, \quad (\alpha A)_{ij} = \alpha A_{ij}$$

- It is equal to Euclidean space in  $n^2$  dimensions
- But we have an *additional structure*:

$$A, B \in M(n) \implies C = AB \in M(n)$$

- Vector space with vector-vector multiplication = *algebra*

## A finite-dimensional $C^*$ -algebra

- In the second-quant lectures,

$$c_\ell, c_k^\dagger \quad \{c_\ell, c_k^\dagger\} = \delta_{\ell k}$$

- We can consider an operator which is a *polynomial*

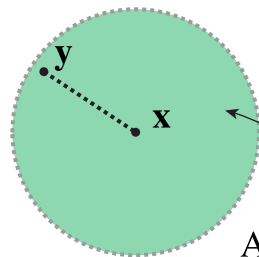
$$\begin{aligned} \alpha_0 \mathbb{1} &+ \sum_k \alpha_k c_k + \sum_k \beta_k c_k^\dagger \\ &+ \sum_{k\ell} \alpha_{k\ell} c_k c_\ell + \sum_{k\ell} \beta_{k\ell} c_k^\dagger c_\ell + \sum_{k\ell} \gamma_{k\ell} c_k^\dagger c_\ell^\dagger \end{aligned}$$

A very important  
example from the  
mathematical  
point of view

- If  $N$  spin-orbitals: max  $N$  particles, so max degree is  $2N$
- So a finite dimensional vector space  $X$  of operators
- A vector space with a multiplication operation

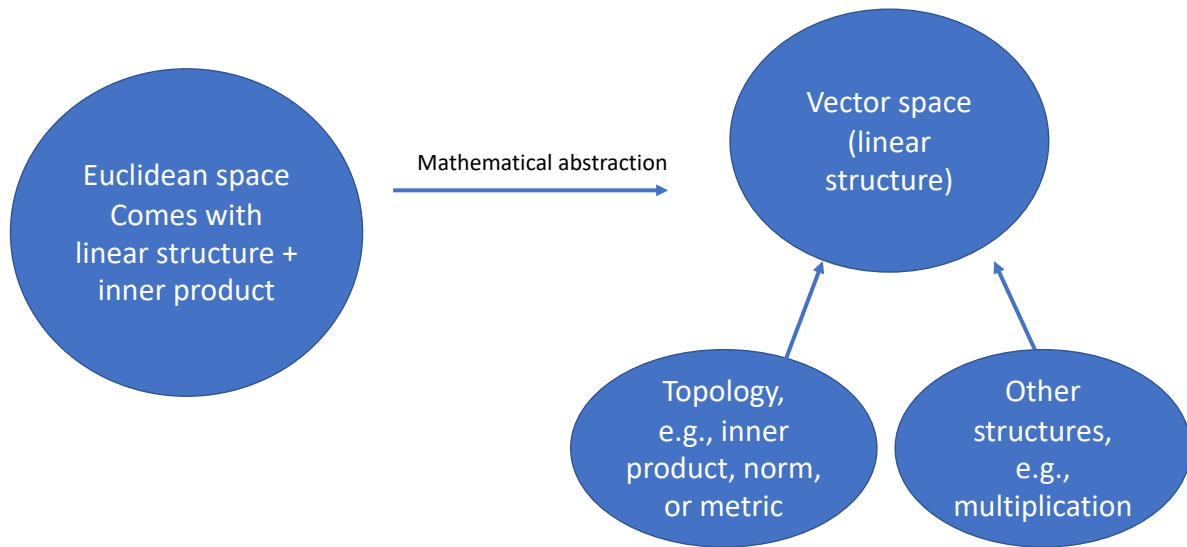
## Inner product, norm

- What these examples lack compared to Euclidean space:
  - A sense of distance
  - Euclidean space, as model of reality, comes with the intuition of which points are close to each other



All points  $y$  with  $\|y - x\| < \epsilon$

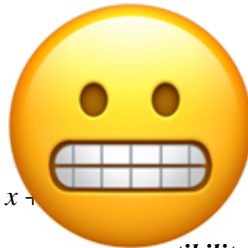
# General vector spaces



## Definition : Vector space

A *vector space over the field*  $\mathbb{F}$  is a set  $V$  together with a binary *vector addition*  $+ : V \times V \rightarrow V$  and *scalar multiplication*  $\cdot : \mathbb{F} \times V \rightarrow V$  such that, for all  $x, y, z \in V$  and all  $\alpha, \beta \in \mathbb{F}$ , the following axioms are true:

1. There exists a  $0 \in V$  such that  $0 + x = x$  for all  $x \in V$  *identity element for addition*
2.  $x + (y + z) = (x + y) + z$  *associativity for addition*
3.  $x + y = y + x$  *commutativity for addition*
4. There exists  $x'$  such that  $x + x' = 0$  *inverse element for addition*
5.  $(\alpha\beta) \cdot x = \alpha \cdot (\beta \cdot x)$  *compatibility of scalar and field multiplications*
6.  $1 \cdot x = x$  *identity for scalar multiplication*
7.  $(\alpha + \beta) \cdot x = \alpha \cdot x + \beta \cdot x$  *distributivity of scalar multiplication*
8.  $\alpha \cdot (x + y) = \alpha \cdot x + \alpha \cdot y$  *distributivity of scalar multiplication*



### Definition : Linear independence, dimension

Let  $V$  be a vector space, and  $L \subset V$  a subset. The set  $L$  is *linearly independent* if for any finite set  $\{v_i \mid 1 \leq i \leq k\} \subset L$ , we have

$$\sum_{i=1}^k a_i v_i = 0 \implies a_i = 0 \text{ for all } i$$

The *dimension* of  $V$  is the cardinality of the largest linearly independent subset of  $V$ .

- In Euclidean space: the *standard basis*
- Polynomials: the various  $x^i$

## Basis for finite-dimensional spaces

### Definition : Basis

Let  $V$  be a vector space of finite dimension  $n$ . A **basis** is a linearly independent set of vectors  $\{b_1, \dots, b_n\}$ , with **exactly  $n$  elements**.

### Theorem

If  $B = \{b_1, \dots, b_n\}$  is a basis for a the vector space  $V$ ,  $\dim(V) < +\infty$ , then any  $v \in V$  can be **uniquely decomposed** as

$$v = \sum_{i=1}^n v_i b_i. \quad (1)$$



## Example

- The standard basis in Euclidean space:

$$\mathbf{x} = \sum_{i=1}^n x_i \mathbf{e}_i$$

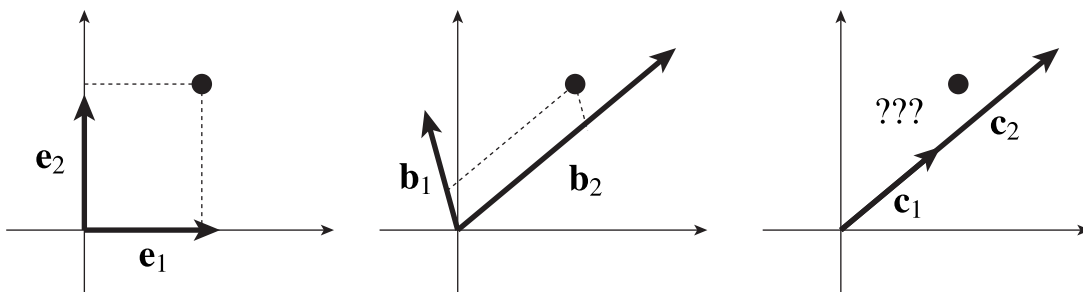
- The monomials in polynomial space:

$$p(x) = \sum_{i=0}^n a_i x^i$$

- A basis is *never unique*

## Examples: bases in the plane

- Standard basis, non-orthogonal basis, and not-a-basis



## Examples of infinite dimensions

- The space of *all* polynomials, unlimited degree
- The space of all *sequences*

$$\text{seq} = \{c : \mathbb{N} \rightarrow \mathbb{X}\}, \quad c = (c_0, c_1, c_2, \dots)$$

- The space of *quadratically integrable functions*

$$\mathcal{L}^2(\mathbb{R}^N) = \left\{ f : \mathbb{R}^N \rightarrow \mathbb{C} \mid \int_{\mathbb{R}^N} |f(x)|^2 d^N x < +\infty \right\}$$

Approximations  
of functions

For infinite  
basis  
expansions

Quantum  
mechanics!

## All finite dimensional vector spaces are *isomorphic* – the same

- (... when it comes to the linear structure)

$$v = \sum_{i=1}^n x_i b_i \quad \longrightarrow \quad \mathbf{x} \in \mathbb{F}^n \quad \quad \alpha v = \sum_{i=1}^n \alpha x_i b_i \quad \longrightarrow \quad \alpha \mathbf{x} \in \mathbb{F}^n$$

$$v_1 + v_2 = \sum_{i=1}^n (x_{i1} + x_{i2}) b_i \quad \longrightarrow \quad \mathbf{x}_1 + \mathbf{x}_2 \in \mathbb{F}^n$$

- And linear operators are .... *matrices!*

$$\hat{A}v = \sum_{ij} A_{ij} x_j b_i$$

Action of  
operator in the  
given basis

## Finite-dimensional Hilbert spaces

### Definition : Inner product

Let  $V$  be a vector space. An *inner product*  $\langle \cdot, \cdot \rangle : V \times V \rightarrow \mathbb{F}$  is a map which satisfies the following axioms:

1.  $\langle x, x \rangle \geq 0$ ,  $\langle x, x \rangle = 0$  if and only if  $x = 0$  *non-negative*
2.  $\langle x, \alpha y + \beta z \rangle = \alpha \langle x, y \rangle + \beta \langle x, z \rangle$  *linearity*
3.  $\langle \alpha y + \beta z, x \rangle = \bar{\alpha} \langle y, x \rangle + \bar{\beta} \langle z, x \rangle$  *conjugate linearity*
4.  $\langle x, y \rangle = \overline{\langle y, x \rangle}$  *hermiticity*

- Finite dim vector space + inner product = Hilbert space

## All finite-dimensional Hilbert spaces are the same

- ... when an orthonormal basis is selected
- Let  $V$  be a finite dim Hilbert space with given basis

$$\langle v, v' \rangle = \sum_{i=1}^n \sum_{j=1}^n \bar{x}_i \langle b_i, b_j \rangle x_j \equiv \mathbf{x}^H \mathbf{S} \mathbf{x},$$

- Inner prod *induces* an inner product on  $\mathbb{F}^n$
- It is not the Euclidean inner product *unless*

$$\langle b_i, b_j \rangle = \delta_{i,j}, \quad \iff \quad \mathbf{S} = \mathbb{1}$$

“overlap matrix”

Orthonormal basis

**Remark**

In order to study (the vector space structure of) finite dimensional Hilbert spaces, including the linear operators over these spaces, it suffices to  $\mathbb{F}^n$  and matrices  $M(n, m, \mathbb{F})$ .



## More on matrices

Matrices are very central to finite dimensional spaces

## Examples of matrices in 2D Euclidean space

- Show Jupyter notebook

## End of lecture 2

- That's it for today!