

Multiconfigurational methods: past, present and the road ahead



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Content of the lectures

- Concepts ullet
- Past
 - CASCI
 - MCSCF/CASSCF
 - MR Dynamical Correlation Approaches

Present: "Sample" CI coefficients using an advanced optimisation algorithm

Density Matrix Renormalisation Group

Road ahead: Beyond "classical quantum chemistry"

• Quantum Chemistry on Quantum Computers



Concepts

from H₂...

First example: Things to learn





A quick reminder on Cl

Expand wave function as a linear combination of Slater determinants

- Orbital coefficients are fixed ightarrow
- The parameters $c_{\mathcal{E}}$ are chosen to minimise the energy
- Leads to eigenvalue problem Hc =
- equation projected onto the basis -> full CI (FCI)

$$\left|\Psi\right\rangle = \sum_{\zeta} c_{\zeta} \left|\Phi_{\zeta}\right\rangle$$

Ec with
$$H_{\zeta\eta} = \left\langle \Phi_{\zeta} | \hat{H} | \Phi_{\eta} \right\rangle$$

If all determinants $\{\Phi\}$ of a given basis are included, we solve the Schrödinger

-0.75 FCI HF -0.8 MP2 -0.85 -0.9 Energy (a.u.) -0.95 -1 -1.05 -1.1 -1.15 -1.2 2 3





A quick reminder on (R)HF

- combination of Slater determinants
- Individual unoccupied orbitals have a limited meaning $(HOMO/LUMO \longrightarrow Koopman's theorem)$



• Minimize the energy of one Slater determinant or a symmetry/spin-defined

(R)HF wave function of H_2

 $- \varphi_{1\sigma_g} \quad \text{Bonding orbital}$ $\Phi^{RHF}(x_1, x_2) = \frac{1}{\sqrt{2}} \left(\varphi_{1\sigma_g, a}(x_1) \varphi_{1\sigma_g, b}(x_2) - \varphi_{1\sigma_g, a}(x_2) \varphi_{1\sigma_g, b}(x_1) \right)$ $= \varphi_{1\sigma_g}(\mathbf{r}_1)\varphi_{1\sigma_g}(\mathbf{r}_2) \times \frac{1}{\sqrt{2}} \left(\delta_{\sigma_1 \alpha} \delta_{\sigma_2 \beta} - \delta_{\sigma_2 \alpha} \delta_{\sigma_1 \beta}\right)$

(R)HF wave function of H_2

 $- \varphi_{1\sigma_g} \quad \text{Bonding orbital}$ $\Phi^{RHF}(x_1, x_2) = \frac{1}{\sqrt{2}} \left(\varphi_{1\sigma_g, a}(x_1) \varphi_{1\sigma_g, \beta}(x_2) - \varphi_{1\sigma_g, a}(x_2) \varphi_{1\sigma_g, \beta}(x_1) \right)$

 $= \varphi_{1\sigma_g}(\mathbf{r}_1)\varphi_{1\sigma_g}(\mathbf{r}_2) \times \frac{1}{\sqrt{2}} \left(\delta_{\sigma_1\alpha}\delta_{\sigma_2\beta} - \delta_{\sigma_2\alpha}\delta_{\sigma_1\beta}\right)$

(R)HF wave function of stretched H₂ in a minimal basis

Η



 $= \varphi_{1\sigma_g}(r_1)\varphi_1$



Bonding orbital

$$\frac{1}{2}\left(s_A(r) + s_B(r)\right)$$

$$\frac{1\sigma_{g}(\mathbf{r}_{2}) \times \frac{1}{\sqrt{2}} \left(\delta_{\sigma_{1}\sigma} \delta_{\sigma_{2}\beta} - \delta_{\sigma_{2}\sigma} \delta_{\sigma_{1}\beta} \right)$$

(R)HF wave function of stretched H₂ in a minimal basis





 $\frac{1}{2} \left(s_A(r_1) s_B(r_2) + s_A(r_2) s_B(r_1) + s_A(r_1) s_A(r_2) + s_B(r_1) s_B(r_2) \right)$

 $= \varphi_{1\sigma_g}(\mathbf{r}_1)\varphi_{1\sigma_g}(\mathbf{r}_2) \times \frac{1}{\sqrt{2}} \left(\delta_{\sigma_1\alpha}\delta_{\sigma_2\beta} - \delta_{\sigma_2\alpha}\delta_{\sigma_1\beta}\right)$









Near-degeneracies give rise to static correlation!



Near-degeneracies give rise to static correlation! Better ansatz?



Bonding orbital

$$\varphi_{1\sigma_g}(\mathbf{r}) = \frac{1}{\sqrt{2}} \left(\mathbf{s}_A(\mathbf{r}) + \mathbf{s}_B(\mathbf{r}) \right)$$



Anti-Bonding orbital

 $\varphi_{1\sigma_{u}}(\mathbf{r}) = \frac{1}{\sqrt{2}} \left(\frac{s_{A}(\mathbf{r}) - s_{B}(\mathbf{r})}{\sqrt{2}} \right)$







$$\left[\left| \left(1\sigma_g \right)^2 \right\rangle - \left| \left(1\sigma_u \right)^2 \right\rangle \right]$$

$$\left(\varphi_{1\sigma_g}(\mathbf{r}_1) \varphi_{1\sigma_g}(\mathbf{r}_2) - \varphi_{1\sigma_u}(\mathbf{r}_1) \varphi_{1\sigma_u}(\mathbf{r}_2) \right)$$

 $\varphi_{1\sigma_{l}}$

 $\left|\Psi\right\rangle \equiv \frac{1}{\sqrt{2}}$

 $arphi_{1\sigma}$

$$\varphi_{1\sigma_{g}}(\mathbf{r}) = \frac{1}{\sqrt{2}} \left(\mathbf{s}_{A}(\mathbf{r}) - \mathbf{s}_{B}(\mathbf{r}) \right)$$

$$\left(\varphi_{1\sigma_{g}}(\mathbf{r}_{1})\varphi_{1\sigma_{g}}(\mathbf{r}_{2}) - \varphi_{1\sigma_{u}}(\mathbf{r}_{1})\varphi_{1\sigma_{u}}(\mathbf{r}_{2}) \right)$$

$$\bar{s}_{g}(\mathbf{r}) = \frac{1}{\sqrt{2}} \left(\frac{s_{A}(\mathbf{r}) + s_{B}(\mathbf{r})}{\sqrt{2}} \right)$$



 $\left|\Psi\right\rangle \equiv \frac{1}{\sqrt{2}} \left(\varphi_{1\sigma_g}(\mathbf{r}_1)\varphi_{1\sigma_g}(\mathbf{r}_2) - \varphi_{1\sigma_u}(\mathbf{r}_1)\varphi_{1\sigma_u}(\mathbf{r}_2)\right)$

 $= \frac{1}{\sqrt{2}} \left(s_A(r_1) s_B(r_2) + s_A(r_2) s_B(r_1) \right)$ $\vdash \cdots \vdash \vdash \cdots \vdash \vdash$



The Past ...





Virtual spin-orbitals "secondary"



Virtual spin-orbitals "secondary"

 \mathscr{L} active spin orbitals $L = \mathscr{L}/2$ active orbitals



Virtual spin-orbitals "secondary"

CASCI is FCI in the active space!





Virtual spin-orbitals "secondary"

CASCI is FCI in the active space!

$$\Psi^{\mathsf{CASCI}} \rangle = \sum_{\zeta \in \mathsf{CAS}} c_{\zeta} \left| \Phi_{\zeta} \right\rangle$$





Virtual spin-orbitals "secondary"

CASCI is FCI in the active space!

$$\left|\Psi^{\mathsf{CASCI}}\right\rangle = \sum_{\zeta \in \mathsf{CAS}} c_{\zeta} \left|\Phi_{\zeta}\right\rangle$$

Inactive spin orbitals "core"

How does it work for our H₂ example?














CASCI for H₂



Multi-configurational wave function necessitate a re-optimisation of the orbitals!



CASCI / MCSCF for H₂





MCSCF: concepts and purpose

MCSCF - concepts I

- numbers η allowed to vary
- Active orbitals with occupations: $0 \ll$
- Select configurations (many-particle basis states) to include

• Form of the wave function ($\left| \tilde{\Phi}_{\zeta} \right\rangle \rightarrow$ SD, ONVs or CSFs): $| ilde{\Psi}|$

• **OPTIMISE** the orbitals <u>and</u> the CI coefficients $C_{\mathcal{C}}$

Introduce as small number of (active) orbitals: $\approx 10 - 20$ with occupation

$$\leq \eta \ll 2$$

$$\sum_{\zeta} c_{\zeta} \left| \tilde{\Phi}_{\zeta} \right\rangle$$

MCSCF - concepts II

- allocating all active electrons to these active orbitals: FCI in the active space $(\langle -\rangle CASCI!)$
- configuration generation is automated
- MCSCF optimisation based on this simplification is coined as complete active space self-consistent field — or simply CASSCF

Simplify general MCSCF ansatz by including all configurations generated by

• Picking a proper set of active orbitals is still required (can be automated too) but



MCSCF - purpose

- as $r_{12} \rightarrow 0$, that is *dynamical correlation*
- MCSCF aims at including non-dynamical correlation that arises from (i) configurational near-degeneracies and/or (ii) gross deficiencies in the RHF wave function
- Includes near-degenerate orbitals to account for static correlation
- Will in general not describe the complete correlation energy!

MCSCF does not describe the short-range correlation contributions that arise

CASSCF: concepts, optimisation and limitations

CASSCF - concepts



- Definition of the orbital spaces follows from CASCI: inactive, active and secondary
- The active orbital space should include:
 - all orbitals where the occupation number η changes significantly during a process (reaction, excitation, ionisation, ...)
 - orbitals where $0 \ll \eta \ll 2$
 - can be automated (overlap, orbital entropy, perturbative estimates, ...) but do not underestimate "chemical intuition"

CASSCF - scaling

2	4
4	36
6	400
8	4.900
10	63.504
12	853.776
14	11.778.896
16	165.636.896
18	2.363.904.260
20	34.134.777.856
24	7.312.459.672.336

• The number of Slater determinant (or ONVs) $\{\Phi\}$ scales for 2k electrons in 2k orbitals as

$$\{\Phi\} = \begin{pmatrix} 2k \\ k \end{pmatrix}^2$$

 Largest calculation with standard CI: CAS(24,24), practical limit about (20,20)!

• Larger CAS spaces require more advanced approaches (-> see The Present!)





CASSCF - active orbital spaces Simple diatomics

- Sometimes simple and intuitive like for H₂: $(\sigma_g, \sigma_u)^2 \rightarrow CAS(2,2)$
- Ground state of N₂ requires 2p orbitals: $(\sigma_g, \pi_u, \pi_g, \sigma_u)^6 \longrightarrow CAS(6,6)$
- C₂/Be₂: require inclusion of 2*s*/2*p* shells because of near-degeneracies!
- Picking CAS for main-group dimers can be straightforward... but does not necessarily have to be the case
- Transition metal dimers are far from trivial! (-> see "The Present")

CASSCF - active orbital spaces Polyatomic molecules

- In general, including the full valence space is not an option (too many e-/o)
- Simple guidelines:
 - Breaking a C-H or C-C bond in a hydrocarbon -> include $(\sigma, \sigma^*)^2$
 - Spectroscopy/reaction of aromatic/conjugated π -systems —> include (π, π^*)
- If even the minimal CAS reaches beyond (20,20), consider alternative approaches like DMRG, HCI, FCIQMC, selective CI, ...



CASSCF - targeting individual states State-specific approach

- Goal: target individual excited states which are not the lowest states wrt spin and/or spatial symmetry
- Challenges:
 - Requires convergence of optimisation algorithm to a saddle point
 - Root flipping: excited state may become the lowest state in CI along a path
 - Converged MCSCF/CASSCF wave functions for two roots of the same symmetry (spatial/spin) are in general NOT orthogonal!
 use state-interaction to calculate properties: SOC, NAC, ...

CASSCF - targeting an ensemble of states State-average approach

- Goal: target an ensemble of states simultaneously wrt spin and/or spatial • symmetry
 - Introduce a weighted ensemble $\{M\}_{\alpha}$ of the energies of M states: $E^{SA} = \sum_{i=1}^{M} \omega_i E_i$ i=1

- Each state in $\{M\}_{\omega}$ will have identical MOs but different CI coefficients
- Challenge: MOs in different states may be very different -> may require larger CAS to ensure smooth convergence

CASSCF - targeting an ensemble of states State-average approach

singlet (S=0) ground ("N state") and lowest excited state ("V state):

• CAS:
$$(\pi_{u}, \pi_{g})^{2}$$

- Character of N state: $|\Psi_0\rangle = c_1$
- Character of V state: $|\Psi_1\rangle = (\pi$
- N and V state —> different spatial extents ($< z^2 > = 1.7 / 9.1$)
- Cannot be described by a single set of π orbitals!

• Example: consider an SA CAS(2,2)SCF calculation of ethylene (C₂H₄) for the

$$(\pi_u)^2 + c_2(\pi_g)^2 + \dots$$

$$(\tau_u \pi_g) + \dots$$

CASSCF - Optimisation of the wave function Concepts

Wave function *ansatz* (note change)

Determine the MO and CI coefficients using the variational principle (normalisation!):

 $|\tilde{0}\rangle =$

 $\delta E = \delta$



of notation
$$\left|\tilde{0}\right\rangle \equiv \left|\tilde{\Psi}\right\rangle$$
:
$$\sum_{\zeta} c_{\zeta} \left|\tilde{\Phi}_{\zeta}\right\rangle$$

$$\hat{0} \left| \hat{H} \left| \tilde{0} \right\rangle \right| = 0$$

$$\langle \tilde{0} \left| \tilde{0} \right\rangle \right)$$

CASSCF - Optimisation of the wave function Energy

• Total CASSCF energy: $E = \langle \tilde{0} | \hat{H} | \tilde{0} \rangle$ pq with

$D_{pq} = \langle \tilde{0} | \hat{E}_{pq} | \tilde{0} \rangle$ (first-order RDM) $P_{pqrs} = \frac{1}{2} \left\langle \tilde{0} \left| \hat{E}_{pq} \hat{E}_{rs} - \delta_{qr} \hat{E}_{ps} \right| \tilde{0} \right\rangle \text{ (second-order RDM)}$

- MO coefficients $\{\tilde{\varphi}\}$ appear in h_{pq} and g_{pqrs} , CI coefficients $\{\Phi\}$ in D and P

 $= \sum h_{pq} D_{pq} + \sum g_{pqrs} P_{pqrs} + h_{nuc}$ pqrs



CASSCF - Optimisation of the wave function Unitary transformations of MOs

- MOs $\{\tilde{\phi}\}$ are orthonormal —> unitary transformation U ensures orthonormality $\tilde{\varphi} = \varphi U$ with $U^{\dagger}U = 1$
- Write U as $U = \exp(T)$ with $T^{\dagger} = -T$, that is T is anti-hermitian $\hat{T} = \sum T_{pq} \hat{E}_{pq} =$
- pq Transformation of creation operators:

• CAS —> we only need the following rotation parameters: $\{pq\}, \{pq\}, \{$

$$= \sum T_{pq} (\hat{E}_{pq} - \hat{E}_{qp})$$

p > q

 $\hat{a}_{\tilde{p}} = \exp(\hat{T})\hat{a}_{p}^{\dagger}\exp(-\hat{T})$



CASSCF - Optimisation of the wave function Unitary transformations of CI vectors

- CI vector(s) { Φ }are normalised: $|0\rangle = \sum_{\zeta} c_{\zeta} |\Phi_{\zeta}\rangle$ with $\sum_{\zeta} |c_{\zeta}|^2 = 1$ • Complementary space $|K\rangle$ orthogonal to $|0\rangle$: $\langle 0 |K\rangle = 0$
- Define anti-hermitian operator $\hat{S}^{\dagger} = -\hat{S}$: $\hat{S} = \sum_{K \neq 0} \hat{S}_{K0} \left(\left| K \right\rangle \left\langle 0 \right| - \left| 0 \right\rangle \left\langle K \right| \right)$ • Unitary transformation of $\left| 0 \right\rangle$ such that $\left| \tilde{0} \right\rangle$ remains normalised $\left| \tilde{0} \right\rangle = \exp(\hat{S}) \left| 0 \right\rangle$

CASSCF - Optimisation of the wave function Concepts

- Resulting wave function ansatz:
- Energy function with parameter space $\{\mathbf{p}\} \equiv \{\{T_{pq}\}_{p>q}, \{S_{K0}\}\}$

 $E(\mathbf{p}) = E(\mathbf{T}, \mathbf{S}) = \langle \tilde{0} | \hat{H} | \tilde{0} \rangle = \langle 0 | \exp(-\hat{S})\exp(-\hat{T})\hat{H}\exp(\hat{T})\exp(\hat{S}) | 0 \rangle$

$\left| \tilde{0} \right\rangle = \exp(\hat{T})\exp(\hat{S}) \left| 0 \right\rangle$

• "Double"-exponential parametrisation with parameters I_{pq} (p > q) and S_{K0}



CASSCF - Optimisation of the wave function Optimal energy

- Vary parameters T and S such that energy $E(T, S) = \langle 0 | e^{-\hat{S}} e^{-\hat{T}} \hat{H} e^{\hat{T}} e^{\hat{S}} | 0 \rangle$ becomes stationary: $\frac{\partial E}{\partial T_{pq}} = 0 \quad \frac{\partial E}{\partial S_{K0}} = 0$
- Leads to a set of nonlinear equations that must be solved iteratively •
- In the following, we examine the Newton-Raphson method for CASSCF optimisation

CASSCF - Optimisation of the wave function Newton-Raphson method - concepts I

- Find stationary point of E(p) where p is a set of parameters to be freely varied • Requires start guess, for example with $\mathbf{p}_0 = 0$
- Expand E through second-order around this point

$$E(\mathbf{p}) \approx E^{(2)} = E(0) + \sum_{i} \left(\frac{\partial E}{\partial p_{i}}\right)_{0} p_{i} + \frac{1}{2} \sum_{ij} p_{i} \left(\frac{\partial^{2} E}{\partial p_{i} \partial p_{j}}\right)_{0} p_{j}$$

- $= E(0) + \mathbf{g}^{\dagger}\mathbf{p} + \frac{\mathbf{I}}{2}\mathbf{p}^{\dagger}\mathbf{H}\mathbf{p}$
- g is the gradient vector & H is the Hessian matrix

CASSCF - Optimisation of the wave function Newton-Raphson method - concepts II

- Approximation to stationary point by finding stationary point of $E^{(2)}$: $\frac{\partial E^{(i)}}{\partial p_i} = 0 \rightarrow \mathbf{g} + \mathbf{H}\mathbf{p} = \mathbf{0} \rightarrow \mathbf{p} = -\mathbf{H}^{-1}\mathbf{g}$
- For the current **p**, compute new gradient and Hessian
- Continue iterative procedure until $|\mathbf{g}| \approx 0$
- Note: ightarrow
 - Approximating E with $E^{(2)}$ is only valid for small p
 - Quadratic convergence for small **p**



CASSCF - Optimisation of the wave function Taylor expansion of the energy

- **Recall:** BCH expansion for operators
- Expand E through second order in \hat{T}, \hat{S} with $E(0), \subseteq$ and H parts

$E^{(2)}(\mathbf{T},\mathbf{S}) = \left\langle 0 \left| \hat{H} \right| 0 \right\rangle + \left\langle 0 \left| \left[\hat{H}, \hat{T} \right] + \left[\hat{H}, \hat{S} \right] \right| 0 \right\rangle$ $+\left\langle 0 \left| \frac{1}{2} \left[\left[\hat{H}, \hat{T} \right], \hat{T} \right] + \frac{1}{2} \left[\left[\hat{H}, \hat{S} \right], \hat{S} \right] + \left[\left[\hat{H}, \hat{T} \right], \hat{S} \right] \right| 0 \right\rangle$

$\exp(-\hat{A})\hat{B}\exp(\hat{A}) = \hat{B} + \left[\hat{B},\hat{A}\right] + \frac{1}{2}\left[\hat{B},\hat{A}\right],\hat{A} + \dots$

CASSCF - Optimisation of the wave function Newton-Raphson equations

comprising orbital (o) and configurational (c) parts as well as mixed terms

- Common approximations:
 - Neglect *oc/co* parts in *H*
 - Approximate H^{oo} —> super-CI approach

• After some straightforward math, Newton-Raphson equations assume the form

$\begin{pmatrix} \mathbf{H}^{cc} & \mathbf{H}^{co} \\ \mathbf{H}^{oc} & \mathbf{H}^{oo} \end{pmatrix} \begin{pmatrix} \mathbf{S} \\ \mathbf{T} \end{pmatrix} = - \begin{pmatrix} \mathbf{g}^{c} \\ \mathbf{g}^{o} \end{pmatrix}$



Code availability and capabilities

- DALTON: NR and other optimization techniques -> state-specific MCSCF
- MOLPRO: second-order optimisation -> state-specific + state-average MCSCF
- ORCA: second-order optimisation -> state-specific + state-average MCSCF
- pySCF: quasi-second order -> state-specific + state-average MCSCF
- . . .

CASSCF - Optimisation of the wave function

OpenMolcas: no coloc couplings —> state-specific + state-average MCSCF



Dynamical electron correlation combined with static correlation

Dynamical correlation combined with MCSCF Wishlist

- GOAL: treat dynamical correlation in combination with MCSCF wave function
 - simultaneously (diagonalize-and-perturb)
 - *a posteriori* (diagonalize-then-perturb)
- Should preferably be both size-extensive and size-consistent
 - size-extensive: energy scales linearly with number of particles N
 - size-consistent: $E_{AB} = E_A + E_B$ at $r(A B) \rightarrow \infty$
- Should allow to treat an ensemble of states on an equal footing

Dynamical correlation combined with MCSCF A not-so-complete summary ...

- Multi-reference CI:
 - Based on excitations out of a MC state
 - Variational but not size-consistent/size-extensive
- Multi-reference perturbation (MRPT2) theories: CASPT2, NEVPT2, ...
 - Based on (internally contracted) excitations out of a MC state
 - Differ in form of \hat{H}_0 and form of wave function corrections
 - (Nearly) size-extensive
- MRCC: less developed but most rigorous!
- post-MCSCF on-top pair-DFT correction (MC-pDFT)
 - Requires specialised DFAs
 - Works for SS and SA MC reference wave functions
- srDFT-IrMCSCF:
 - Requires specialised DFAs
 - Allows a simultaneous treatment of static and dynamic correlation

The Present ...



Second example: the Cr₂ puzzle resolved

H. R. Larsson, H. Zhai, C. J. Umrigar, G. K.-L. Chan, arXiv: 2206.10738

Cr₂ potential energy curse...



FIG. 1. Some of the simulated potential energy curves (PECs) of the chromium dimer that are available in the literature. The PECs are labeled by the study's year. The red curve marks the PEC from this work. The inset shows selected PECs from 2011 onwards. (List of references in SI).

and the shelf to 4s orbital interactions.

Short and weak bond with a narrow minimum around 1.68 Å & extended shelf at around 2.5 Å. -> Cr 4s and 3d AOs different in size, with the minimum corresponding mostly to 3d orbital interactions

A unique bonding and its consequences

- Complex electronic structure arises from interplay of two types of electron correlation

 - excitations involving non-valence orbitals

-> formation of 3d-3d bonds requires the 3p electrons to move out of the same spatial region by exciting to higher lying orbitals

Challenge: \bullet

> "The problem is computationally challenging because both the static and dynamic correlation must be computed sufficiently well even for a <u>qualitatively</u> reasonable description."

• type | — "static correlation": spin-coupling of the 12 valence electrons (3d + 4s shells) energy-driven degeneracy

• type II — "spatial correlation / dynamic correlation": need for a large basis to capture

overlap-driven degeneracy



Computational approach I

 $\Delta E = \Delta E_{\text{PDZ}}(\text{``exact''}) + \Delta E_{\text{CBS}}(\text{MPS-REPT2}) - \Delta E_{\text{DZ}}(\text{MPS-REPT2})$

- Static electron correlation: CAS(28e,76o)/cc-pVDZ-DK
 - Heat-Bath CI (selective CI)
 - DMRG (with huge bond dimension!)
- Dynamic electron correlation: CAS(12,12)/MRPT2
 - PT2 correlation of 3s and 3p inner-valence shells
 - all secondary shells considered

Basis set: MRPT2 with cc-pvNZ-DK (N=2,3,4,5) with extrapolation to CBS limit

Computational approach II



New state-of-the-art



Thinking outside the box **Standard Cl approach**

Determine CI coefficients from correlations among orbitals

$$\left|\Psi\right\rangle = \sum_{k_1,k_2,\ldots,k_L} c_{k_1,k_2,\ldots,k_L} \left|k_1\right\rangle \otimes \left|k_2\right\rangle \otimes \ldots \otimes \left|k_L\right\rangle$$

• Local space k_l of *l*-th spatial orbital is of dimension d = 4:

 CI-type diagonalization for a preselected set of many-particle basis states $|\Psi\rangle = \sum c_{k_1,k_2,\ldots,k_L} |k_1\rangle \otimes |k_2\rangle \otimes \ldots \otimes |k_L\rangle$

DMRG

 $k_{l} = \{ | \uparrow \downarrow \rangle | \uparrow \rangle, | \downarrow \rangle, | 0 \rangle \}$
From a CI wave function to Matrix Product States (MPS): Optimising an MPS with the DMRG algorithm

Optimising an MPS wave function with the DMRG algorithm

- Optimisation algorithm \bullet
- Parameters that determine DMRG accuracy

<u>Very useful introductory reference:</u>

matrix product states, Annals of Physics, 326 (2011) 96–192.

Some reviews on about 20 years of DMRG in quantum chemistry

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Intermission: singular value decomposition • Singular value decomposition (SVD) of a matrix $M(n_a \times n_b)$ $M = U S V^{\dagger}$

yields:

- Left-singular matrix $U(n_a \times \min(n_a, n_b))$ with $U^{\dagger}U = 1$
- Right-singular matrix V $(\min(n_a, n_b) \times n_b)$ with V[†]V = 1
- nonzero singular values $\rightarrow r$ is the (Schmidt) rank of M





Remember?

• Diagonal singular value matrix S $(\min(n_a, n_b) \times \min(n_a, n_b))$ with r



From a CI to an MPS parametrisation I

• Successive application of SVD to CI tensor \rightarrow MPS wave function







- S and V[†] multiplied and reshaped into coefficient tensor $C_{a_1,(k_2,\ldots,k_L)}$
- $r_1 \leq d$

 $\Gamma_{k_1,(k_2,...,k_I)} = c_{k_1,k_2,...,k_I}$

 $\equiv \sum_{a_1}^{r_1} A_{1,a_1}^{k_1} C_{a_1,(k_2,\dots,k_L)}$

• collection of d(=4) row vectors A^{k_1} with entries $A_{1,a_1}^{k_1} = U_{k_1,a_1}$

From a CI to an MPS parametrisation III - Reshape coefficient tensor $c_{a_1,(k_2,...,k_L)}$ into a $r_1 d \times d^{L-2}$ matrix Γ $c_{k_1,k_2,\ldots,k_L} = \sum_{l=1}^{r_1} A_{1,a_1}^{k_1} \Gamma_{(a_1k_2),(k_3,\ldots,k_L)}$

 a_1

$a_1 \quad a_2$

with

- S and V[†] multiplied and reshaped into coefficient tensor $C_{a_1,(k_2,\ldots,k_L)}$
- $r_2 \leq r_1 d \leq d^2$
- collection of d matrices A^{k_2} with entries $A_{a_1,a_2}^{k_2} = U_{(a_1k_2),a_2}$

 $\underset{=}{\overset{r_1}{\sum}} \sum_{k_1} A_{1,a_1}^{k_1} U_{(a_1k_2),a_2} S_{a_2,a_2} (V^{\dagger})_{a_2,(k_3,\ldots,k_L)}$

reshape $\sum_{k=1}^{r_1} \sum_{k=1}^{r_2} A_{1,a_1}^{k_1} A_{a_1,a_2}^{k_2} \Gamma_{(a_2k_3),(k_4,...,k_L)}$

 a_2

From a CI to an MPS parametrisation IV

Continue with SVDs until last site which then gives

$$c_{k_1,k_2,...,k_L} = \sum_{a_1,a_2,...,a_L-1} A_{1,a_1}^{k_1} A_{a_1,a_2}^{k_2} \cdots A_{a_{L-2,L-1}}^{k_{L-1}} A_{a_{L-1},1}^{k_L}$$
$$\equiv A^{k_1} A^{k_2} \cdots A^{k_{L-1}} A^{k_L}$$

with

- interpretation of sums as matrix-matrix multiplications
- first and last matrices are row- and column vectors!
- CI wave function rewritten as MPS wave function:

$$|\Psi\rangle = \sum_{k} c_{k} |k\rangle = \sum_{k_{1},k_{2},\ldots,k_{L}} A^{k_{1}}A^{k_{2}}\cdots A^{k_{L-1}}A^{k_{L}} |k\rangle$$

From a CI to an MPS parametrisation V

schematically...



Properties of the MPS I

- Matrix dimensions grow exponentially up to $\dim(d^{L/2-1} \times d^{L/2})$ if no truncation occurs, i.e., all singular values are kept
- From $U^{\dagger}U = I$ follows that all matrices $\{A^{k_l}\}$ are left-normalised $\sum_{k_l} A^{k_l} A^{k_l} = I$
- MPS built from left-normalised matrices is called left-canonical
- For any lattice bipartition at site l, the states on sites $1, \ldots, l$

$$|a_l\rangle_{\mathscr{L}} = \sum_{\substack{k_1,k_2,\ldots,k_l}} ($$

span a left subsystem \mathscr{L} and form an orthonormal basis

 $\left(A^{k_1}\cdots A^{k_l}\right)_{1,a_l}|k_1,\ldots,k_l\rangle$

Properties of the MPS II

- Starting SVD on coefficient tensor from right-hand side $(k_1, k_2, ..., k_{L-1})$
 - yields right-normalised matrices $\{B, B\}$
- MPS built from right-normalised mat
- For any lattice bipartition at site l + 1, the states on sites l + 1..., L $k_{l+1}, k_{l+2}, \dots, k_{L}$
 - span a right subsystem \mathscr{R} and form an orthonormal basis

$$\sum_{l=1}^{k} k_{l} = C_{k_{1},k_{2},\ldots,k_{L}}$$

$$B^{k_{l}} \text{ (as } V^{\dagger}V = I)$$

$$B^{k_{l}}B^{k_{l}\dagger} = I$$

 $|a_{l+1}\rangle_{\mathcal{R}} = \sum \left(B^{k_{l+1}} \cdots B^{k_L} \right)_{a_l,1} |k_{l+1}, \dots, k_L\rangle$

Gauge freedom and mixed-canonical form

- MPS representations are not unique \leftrightarrow existence of a gauge degree of freedom
- Consider two adjacent matrices M^{k_l} and $M^{k_{l+1}}$ of shared column/row dimension D and a square invertible matrix X ($D \times D$)
- Invariance of MPS immediately follows from

$$M^{k_l} \rightarrow M^{k_l} X;$$

since

$$M^{k_l} \underbrace{XX^{-1}}_{} \Lambda$$

 $M^{k_{l+1}} \to X^{-1}M^{k_{l+1}}$

 $M^{k_{l+1}} = M^{k_l} \cdot M^{k_{l+1}}$



Mixed-canonical MPS representation

Gauge freedom allows to write an MPS in mixed canonical form at sites $\{l, l+1\}$ ullet

$$\left|\Psi\right\rangle = \sum_{k} A^{k_{1}} \cdots A^{k_{l-1}} M^{k_{l}k_{l+1}} B^{k_{l+2}} \cdots B^{k_{L}} \left|k\right\rangle$$

by starting from a general MPS wave function

$$|\Psi\rangle = \sum_{k} M^{k_1} M^{k_2} \cdots M^{k_L} |k\rangle$$

and the two-site MPS tensor $M^{k_l k_{l+1}}$ reading as

$$M^{k_l k_{l+1}} \equiv M^{k_l k_{l+1}}_{a_{l-1}, a_{l+1}} = \sum_{a_l} M^{k_l}_{a_{l-1}, a_l} M^{k_{l+1}}_{a_l, a_{l+1}}$$



Matrix product operators

• MPS concept applied to operators \rightarrow matrix product operators (MPOs)



• N-electron operator $\widehat{\mathcal{M}}$ in MPO form $kk' b_1, ..., b_{L-1}$ *kk*′ $\equiv \sum w_{kk'} \left| k \right\rangle \left\langle k' \right|$ Rek'



 $\widehat{\mathcal{W}} = \sum \left[W_{1,b_1}^{k_1k_1'} W_{b_1,b_2}^{k_2k_2'} \cdots W_{b_{L-1},1}^{k_Lk_L'} \right] k \langle k' |$

 $= \sum W^{k_1k'_1}W^{k_2k'_2}\cdots W^{k_Lk'_L} |\mathbf{k}\rangle \langle \mathbf{k'}|$



Matrix product operators II

the local site indices $k_l k'_l$

- This allows us to write the equation on previous slide as
- $\widehat{\mathcal{W}} = \sum_{b_1, \dots, b_{L-1}} V$ Note: the entries of $\{W_{b_{l-1},b_{l}}^{l}\}$ matrices comprise the elementary, *local* operators acting on the *l*-th orbital, e.g.,

For efficiency, rearrange summations such that the contraction proceeds first over

$$\widehat{W_{b_{l-1},b_{l}}^{l}} = \sum_{k_{l}k_{l}'} W_{b_{l-1},b_{l}}^{k_{l}k_{l}'} \left| k_{l} \right\rangle \left\langle k_{l}' \right|$$

$$\widehat{W_{1,b_1}^1}\cdots \widehat{W_{b_{l-1},b_l}^l}\cdots \widehat{W_{b_{L-1},1}^L}$$

 $\tilde{a}_{\uparrow_{I}}^{\dagger} = |\uparrow\downarrow\rangle\langle\downarrow|+|\uparrow\rangle\langle0|$





Variational MPS optimisation I



- Goal: find optimal approximation $|\Psi\rangle$ to $|\Psi\rangle$ (in a least-square sense)
- Prerequisite: initialise suitable (valid) trial MPS wave function $|\Psi
 angle$
 - choices: random guess, encode HF determinant, CI-DEAS by Ö. Legeza, ...
 - assume normalisation, i.e., $\langle \Psi | \Psi \rangle = 1$

Variational MPS optimisation II



- Ansatz for variational MPS optimization: extremize the Lagrangian $\mathscr{L} = \langle \Psi | \hat{H} | \Psi \rangle - \lambda \left(\langle \Psi | \Psi \rangle - 1 \right)$

with the two-site $\{M^{k_l k_{l+1}}\}$ matrices as optimization parameters

- Optimize at each step of a "sweep" entries of site matrices of two orbitals ightarrow("two-site DMRG") while keeping all the others fixed
- Sweep through all sites multiple times until energy converges

Variational MPS optimisation III

 $M^{k_l,k_{l+1}}$

which then yields

 $\sum_{\substack{a'_{l-1}a'_{l}k'_{l}k'_{l+1}}} L^{b_{l-1}}_{a_{l-1},a'_{l-1}} V$ $b_{l-1}b_{l+1}$

and ket MPS starting from left (right) up to sites l - 1(l + 1)

• At sites $\{l, l+1\}$, take derivative of \mathscr{L} with respect to complex conjugate of $\frac{\partial}{\partial M^{k_{l},k_{l+1}*}} \left(\left\langle \Psi \right| \hat{H} \left| \Psi \right\rangle - \lambda \left[\left\langle \Psi \right| \Psi \right\rangle - 1 \right] \right) = 0$

$$W_{b_{l-1},b_{l+1}}^{k_{l}k_{l+1},k_{l}'k_{l+1}'}R_{a_{l+1}',a_{l+1}}^{b_{l+1}}M_{a_{l-1}',a_{l+1}'}^{k_{l}'k_{l+1}'} = \lambda \sum_{\substack{a_{l-1}',a_{l}'}} \Psi_{a_{l-1}',a_{l-1}}^{A}$$

$$imes M^{k'_l k'_{l+1}}_{a'_{l-1},a'_{l+1}}$$

 $\times \Psi^B$ a_{l+1}, a_{l+1}

• L and R: left and right boundaries obtained by contracting the MPO with the bra



Variational MPS optimisation IV



 $\partial M^{k_l,k_{l+1}*}$





Variational MPS optimisation V

$$\sum_{\substack{a_{l-1}' a_{l}' \\ b_{l-1}b_{l+1}}} \sum_{\substack{k_{l}'k_{l+1}' \\ k_{l}'k_{l+1}'}} L_{a_{l-1},a_{l-1}'}^{b_{l-1}} W_{b_{l-1},a_{l-1}'}^{k_{l}k_{l+1}'}$$



• Requires the initial MPS to be right-normalized!

• NB: Simplify generalized eigenvalue problem to a standard eigenvalue problem

$$X_{l}^{k_{l+1}} R_{a_{l+1},a_{l+1}}^{b_{l+1}} M_{a_{l-1},a_{l+1}}^{k_{l}^{\prime}k_{l+1}^{\prime}} = \lambda M_{a_{l-1},a_{l+1}}^{k_{l}^{\prime}k_{l+1}^{\prime}}$$

Variational MPS optimisation VI

- Recast last equation into a matrix eigenvalue equation $\mathcal{H}v - \lambda v = 0$
 - by defining a local Hamiltonian matrix \mathcal{H} at sites $\{l, l+1\}$
 - and a vector v

 $V_{k'_{l+1}a'_{l-1}}$

$H_{(k_{l}k_{l+1}a_{l-1}a_{l+1}),(k_{l}'k_{l+1}'a_{l-1}'a_{l+1}')} = \sum L_{a_{l-1},a_{l-1}'}^{b_{l-1}} W_{b_{l-1},b_{l+1}}^{k_{l}k_{l+1}} R_{a_{l+1}',a_{l+1}}^{b_{l+1}}$ b_{l-1}, b_{l+1}

$$a_{l+1}' = M_{a_{l-1}',a_{l+1}'}^{k_l'k_{l+1}'}$$

• Solving EV problem —> eigenvalue λ^0 and corresponding eigenvector $v_{k'_l k'_{l+1} a'_{l-1} a'_{l+1}}^0$



Variational MPS optimisation VII

- Reshape $v_{k'_lk'_{l+1}a'_{l-1}a'_{l+1}}^0$ back to $M_{a'_{l-1}a'_{l+1}}^{k'_lk'_{l+1}a'_{l+1}}$
- $M_{a'_{l-1},a'_{l+1}}^{k'_{l+1}}$ is subsequently subject to a left- or right-normalisation (SVD!)

$$M_{a'_{l-1},a'_{l+1}}^{k'_{l}k'_{l+1}} = M_{(k'_{l},a'_{l-1})(k'_{l+1},a'_{l+1})} = U_{(k'_{l},a'_{l-1})s_{l}}S_{s_{l}s_{l}}V_{s_{l}(a'_{l+1},k'_{l+1})}$$

- By discarding the 3m smallest singular values in $S_{S_1S_1}$ to obtain $S_{a_1a_1}$ we achieve the desired reduction in bond dimensionality
- The maximum (fixed) number *m* of retained singular values is usually called number of renormalized block states



Variational MPS optimisation VIII

ulletcolumns (rows) of U(V) such that

$$A_{a'_{l-1},a'_{l}}^{k'_{l}} \equiv U_{(k'_{l},a'_{l-1})}$$

$$\epsilon = \sum_{\substack{s_l = m+1}}^{4m} S_{s_l s_l} =$$

can be employed to obtain an error estimate through extrapolation

Discarding 3m smallest singular values corresponds to discarding the last 3m



ne truncation error ϵ

 $= ||\Psi_{16m^2} - \Psi_{4m^2}||$

Variational MPS optimisation IX

- optimization step
- **BUT**: Is the chosen approximation optimal in a least-square sense?

• Moving from sites $\{l, l+1\}$ to sites $\{l+1, l+2\}$ then completes the local

Optimal bipartition in a least square sense I



• Given: many-body state $|\Psi\rangle$ of composite system AB $|\Psi\rangle = \sum C_{ij} |i\rangle_A \otimes |j\rangle_B$ • $\{ |i\rangle_A \}$ ($\{ |j\rangle_B \}$) are orthonormal bases of A (B) with dimension N_A (N_B)



Optimal bipartition in a least square sense II







Optimal bipartition in a least square sense III

• Restricting the sum in last equation to some value $m' \leq \min(N_A, N_R)$ yields the Schmidt decomposition

(quantum) states

- For orthonormal states in A and B, the two-norm $||\Psi||_2^2$ is identical to the Frobenius norm of the matrix $\{C_{ii}\}$
 - $||\Psi||_{2}^{2} = ||C|$

$$|\Psi\rangle = \sum_{a=1}^{m'} s_a |a\rangle_A |a\rangle_B$$

where m' = 1 corresponds to (classical) product states and m' > 1 to entangled

$$C | |_{F}^{2} = \sum_{a=1}^{\min(N_{A}, N_{B})} s_{a}^{2}$$





Optimal bipartition in a least square sense IV

- Hence, an optimal approximation $|\Psi
 angle$ to $|\Psi
 angle$ with respect to the 2-norm immediately follows from optimal approximation of C by C in the Frobenius norm, with \tilde{C} being a matrix of rank $m \leq m'$
 - $|\tilde{\Psi}\rangle = \sum_{n}^{m}$

variational MPS optimization?

$$\sum_{a=1}^{N} s_a |a\rangle_A |a\rangle_B$$

• **BUT:** how does this relate to the truncation (dimensionality reduction) in the



Optimal bipartition in a least square sense V

• SVD of MPS in mixed-canonical form at site l

$$\begin{split} \Psi \rangle &= \sum_{\substack{k_1, \dots, k_L \\ a_1, \dots, a_{L-1}}} A_{1, a_1}^{k_1} \cdots A_{a_{l-1}, a_l}^{k_l} S_{a_l, a_l} B_{a_l, a_{l+1}}^{k_{l+1}} \cdots B_{a_{L-1}, 1}^{k_L} \left| k_1, \dots, k_l, \dots, k_L \right\rangle \\ &= \sum_{a_l} \left(\sum_{\substack{k_1, \dots, k_l \\ a_1, \dots, a_{l-1}}} A_{1, a_1}^{k_1} \cdots A_{a_{l-1}, a_l}^{k_l} \left| k_1, \dots, k_l \right\rangle \right) \cdot S_{a_l, a_l} \cdot \\ &\left(\sum_{\substack{k_{l+1}, \dots, k_L \\ a_{l+1}, \dots, a_{L-1}}} B_{a_l, a_{l+1}}^{k_{l+1}} \cdots B_{a_{L-1}, 1}^{k_L} \left| k_{l+1}, \dots, k_L \right\rangle \right) \\ &= \sum_{a_l} S_{a_l, a_l} \left| a_l \right\rangle_{\mathscr{L}} \left| a_l \right\rangle_{\mathscr{R}} \end{split}$$

Optimal bipartition in a least square sense VI

SVD retaining the lowest *m* values with $m < \dim(|\Psi\rangle)$



 Comparison of last equation and the Schmidt decomposition immediately reveals that an optimal bipartition in a least square sense can be obtained for $|\Psi\rangle$ from an



Scaling of variational MPS optimisation

- Scaling is dominated by cost of contracting the operator with the MPS on one site and is proportional to the number of non-zero elements in the MPO matrices $\{W\}$
 - in a naïve MPO ansatz this step scales as $\mathcal{O}(L^5)$
 - in an optimized code scaling reduces to $\mathcal{O}(L^4)$
- Further reduction through symmetry: U(1) and SU(2)
- SVD scales as $\mathcal{O}(m^3)$ (but there are L of them in a sweep)
- Taking into account all operations a sweep scales $\approx O(L^4 m^3)$



Extrapolation

• Extrapolate E based on truncation error ϵ for different values of m ln

• Example: ground-state calculation of F₂



$\left(\frac{E_{\text{DMRG}} - E_{\text{FCI}}}{E_{\text{FCI}}}\right) = a \ln \epsilon + b$

Determining factors of DMRG convergence

- Size L of the CAS
- Type of molecular orbitals (HF, NO's, localized orbitals, ...)
- MPS guess for the right subsystem (initial sweep)
- Ordering of orbitals (exploit quantum information / graph theory)
- Number of renormalized block states *m*

various runs until results converge!

One should never calculate results for just a single *m*, but increase it in

Properties of DMRG

DMRG

- Variational
- Size-consistent
- (approximate) FCI for a CAS
- Polynomial scaling ($\approx L^4 m^3$)
- MPS wave function
- For large *m* invariant wrt orbital rotations

CASCI

- Variational
- Size-consistent
- FCI for a CAS
- Factorial scaling
- Linearly parametrised wave function
- Invariant wrt orbital rotations



(Incomplete) List of DMRG codes for QC

- Budapest-DMRG code (Matlab, no source code available)
- MOLMPS (C++, ?)
- (Stack)Block and Block2 (C++, source code available)
- CheMPS2 (C++, Fortran, source code available)
- QCMaquis (C++, Fortran, source code available)

Other classical methods for large CAS

- FCI-Quantum Monte Carlo aka FCIQMC
- Heat-Bath CI (aka SHCI)
- selective CI / CIPSI-like approaches
- v(ariational) 2RDM

-> Extensions to treat dynamical electron correlation available!
The road ahead ...



Quantum Chemistry on a Quantum Computer: Concepts and Challenges

Some important references

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- An adaptive variational algorithm for exact molecular simulations on a quantum computer Grimsley, Economou, Barnes, Mayhall, Nat. Comm.10, 3007 (2019)
- Learning to measure: adaptive informationally complete generalized measurements for quantum algorithms García-Pérez, Rossi, Sokolov, Tacchino, Barkoutsos, Mazzola, Tavernelli, Maniscalco, PRX Quantum 2,040342 (2021)
- Optimal fermion-to-qubit mapping via ternary trees with applications to reduced quantum states learning Jiang, Kalev, Mruczkiewicz, Neven, Quantum 4, 276 (2020)
- Efficient and noise resilient measurements for quantum chemistry on near-term quantum computers Huggins, McClean, Rubin, Jiang, Wiebe, Birgitta Whaley, Babbush, npj Quant. Inf. 7, 23 (2021)
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Richard Feynman 1982



Yuri Manin 1980

Simulating some quantum mechanical effects on a classical computer is unfeasible



Richard Feynman 1982

Use a quantum one!



From bits to ...

qubits

- Classical bit can be either in state |0
 angle or state |1
 angle
 - Qubit can be in a superposition of both states

$$|\psi\rangle = \alpha |0\rangle + \beta |1\rangle \equiv \alpha \begin{bmatrix} 1 \\ 0 \end{bmatrix} + \beta \begin{bmatrix} 0 \\ 1 \end{bmatrix}$$



 $\beta \mid 1$



From bits to ... --> qubits

- Classical bit can be either in state |0
 angle or state |1
 angle
 - Qubit can be in a superposition of both states

$$\left|\psi\right\rangle = \alpha \left|0\right\rangle + \beta \left|1\right\rangle \equiv \alpha \begin{bmatrix}1\\0\end{bmatrix} + \beta \begin{bmatrix}0\\1\end{bmatrix}$$

$$\sum_{\lambda \in \mathcal{V}} |\psi\rangle = \gamma |0\rangle + \delta |1\rangle \frac{\varphi^2}{\delta^2} |\psi\rangle$$



=







From bits to ... --> qubits - Mea

P

- Classical bit can be either in state |0
 angle or state |1
 angle
 - Qubit can be in a superposition of both states

$$|\psi\rangle = \alpha |0\rangle + \beta |1\rangle \equiv \alpha \begin{bmatrix} 1\\ 0 \end{bmatrix} + \beta \begin{bmatrix} 0\\ 1 \end{bmatrix}$$

- Measuring the state of the qubit with probability ${\it P}$

$$\left(\left| \psi \right\rangle = \left| 0 \right\rangle \right) = \gamma^2 \text{ and } P\left(\left| \psi \right\rangle = \left| 1 \right\rangle \right) = \delta^2$$

$$\begin{array}{c} \varphi^{2} | \psi \rangle \\ \hline \varphi \rangle = \gamma | 0 \rangle + \delta | 1 \rangle \\ \hline \varphi \rangle \\ \hline \delta^{2} | \psi \rangle \end{array}$$



Using a quantum computer as a quantum physics simulator



Arbitrary state of its qubits

 $\rightarrow |\Psi\rangle = \sum_{\vec{r}} \alpha_{\vec{f}} |f_1 f_2 \dots f_M\rangle$



Using a quantum computer as a quantum physics simulator



Arbitrary state of its qubits

 $|\Psi\rangle = \sum_{i} \alpha_{\vec{f}} |f_1 f_2 \dots f_M\rangle$ \rightarrow

A universal quantum computer can solve problems beyond quantum simulation (e.g. factorisation)



Using a quantum computer as a quantum physics simulator



Arbitrary state of its qubits

 $|\Psi\rangle = \sum \alpha_{\vec{f}} |f_1 f_2 \dots f_M\rangle$ \rightarrow

A universal quantum computer can solve problems beyond quantum simulation (e.g. factorisation)



Quantum 5, 433 (20



Using a quantum computer as a quantum physics simulator



Arbitrary state of its qubits

 $|\Psi\rangle = \sum \alpha_{\vec{f}} |f_1 f_2 \dots f_M\rangle$ \rightarrow

A universal quantum computer can solve problems beyond quantum simulation (e.g. factorisation)



Quantum 5, 433 (20



Using a quantum computer as a quantum physics simulator



Arbitrary state of its qubits

 $|\Psi\rangle = \sum \alpha_{\vec{f}} |f_1 f_2 \dots f_M\rangle$

We are in the era of the Noisy Intermediate-Scale Quantum computers: soon useful for simulation!

A universal quantum computer can solve problems beyond quantum simulation (e.g. factorisation)



Quantum 5, 433 (20



Ressource estimates Roadmap of IBM (others have similar plans)

	2019 🥪	2020 🥝	2021 🥝	2022	2023	2024	2025	Beyond 2026
Model Developers								
Deretepere								
Algorithm Developers								
Kernel Developers								
System Modularity	Falcon 27 qubits	Hummingbird <	Eagle <	Osprey 433 qubits	Condor 1,121 qubits	Flamingo 1,386+ qubits	Kookaburra 4,158+ qubits	Scaling to 10K-100K qubits with classical
								and quantum communication
					Heron 133 qubits x p	Crossbill 408 qubits		

Quantum chemistry simulation in a nutshell



The state of the quantum processor mathematically represents the state of the molecule

The goal is to find the state of the molecule for which the energy is minimal

The energy of the molecule needs to be measured







Challenges

Measurement stage is time-consuming





Challenges

- Measurement stage is time-consuming
- Hilbert space is a big space



QUANTUM STATE **1EASUREMEN** A. θ, θ_{c} θ_{z} 03 θ_{\bullet} *θ*₀ 0, *θ*s 0, OPTIMIZATION

Challenges

- Measurement stage is time-consuming
- Hilbert space is a big space
- Noise biases the results



QUANTUM STATE



Challenges

- Measurement stage is time-consuming
- Hilbert space is a big space
- Noise biases the results
- Qubits are a scarce resource

Problem statement Molecular ground-state energy

- Composition of the molecule is given
- Must determine ground-state energy as a function of positions of nuclei (potential energy surface)





Born-Oppenheimer approximation



• N-electron wave function

$$|\Psi\rangle = \sum_{\vec{f}} \alpha_{\vec{f}} |f_1 f_2 \dots f_M\rangle$$

• N-electron wave function

$$|\Psi\rangle = \sum_{\vec{f}} \alpha_{\vec{f}} |f_1 f_2 \dots f_M\rangle$$

All terms considered in FCI (usually classically intractable!)

Exact for infinite M

Usually a dominant determinant (Hartree-Fock)

N-electron wave function

$$|\Psi\rangle = \sum_{\vec{f}} \alpha_{\vec{f}} |f_1 f_2 \dots f_M\rangle$$

$$H_e = -\sum_{i=1}^{n}$$

$$\sum \frac{\nabla_i^2}{2} - \sum_{i,I} \frac{Z_I}{|\mathbf{r}_i - \mathbf{R}_I|} + \frac{1}{2} \sum_{i \neq j} \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|}$$

• N-electron wave function

$$|\Psi\rangle = \sum_{\vec{f}} \alpha_{\vec{f}} |f_1 f_2 \dots f_M\rangle \xrightarrow{?} H_e = -\sum_i \frac{\nabla_i^2}{2} - \sum_{i,I} \frac{Z_I}{|\mathbf{r}_i - \mathbf{R}_I|} + \frac{1}{2} \sum_{i \neq j} \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|}$$

$$\frac{a_p^{\dagger}|f_1 f_2 \dots f_p \dots f_M}{a_p|f_1 f_2 \dots f_p \dots f_M} = \delta_{f_p, 0} (-1)^{\sum_{k=1}^{p-1} f_k} |f_1 f_2 \dots 1 \dots f_M\rangle \longrightarrow \begin{cases} a_p, a_q^{\dagger} \} = \delta_{p, q} \\ \{a_p, a_q\} = \{a_p^{\dagger}, a_q^{\dagger} \} = 0 \end{cases}$$

Creation/annihilation (second quantisation) operators enforce antisymmetry

• N-electron wave function

$$|\Psi\rangle = \sum_{\vec{f}} \alpha_{\vec{f}} |f_1 f_2 \dots f_M\rangle \xrightarrow{?} H_e = -\sum_i \frac{\nabla_i^2}{2} - \sum_{i,I} \frac{Z_I}{|\mathbf{r}_i - \mathbf{R}_I|} + \frac{1}{2} \sum_{i \neq j} \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|}$$

$$\begin{aligned} a_{p}^{\dagger}|f_{1}f_{2}\dots f_{p}\dots f_{M}\rangle &= \delta_{f_{p},0}(-1)^{\sum_{k=1}^{p-1}f_{k}}|f_{1}f_{2}\dots 1\dots f_{M}\rangle \\ a_{p}|f_{1}f_{2}\dots f_{p}\dots f_{M}\rangle &= \delta_{f_{p},1}(-1)^{\sum_{k=1}^{p-1}f_{k}}|f_{1}f_{2}\dots 0\dots f_{M}\rangle \end{aligned} \longrightarrow \begin{aligned} \{a_{p},a_{q}^{\dagger}\} &= \delta_{p,q} \\ \{a_{p},a_{q}\} &= \{a_{p}^{\dagger},a_{q}^{\dagger}\} = 0 \end{aligned}$$

Requires Hamiltonian in second quantisation

$$\hat{H}_e = \sum_{pq} h_{pq} a_p^{\dagger} a_q + \frac{1}{2} \sum_{pqrs} g_{pqrs} a_p^{\dagger} a_r^{\dagger} a_s a_q$$

Creation/annihilation (second quantisation) operators enforce antisymmetry

 $|f_1 \dots f_M\rangle \rightarrow |q_1 \dots q_M\rangle \rightarrow Mapping of state is straightforward$



 $|f_1 \dots f_M\rangle \longrightarrow |q_1 \dots q_M\rangle \longrightarrow$ Mapping of state is straightforward $a_p | f_1 f_2 \dots f_p \dots f_M \rangle = \delta_{f_p, 1} (-1)^{\sum_{k=1}^{p-1} f_k} | f_1 f_2 \dots 0 \dots f_M \rangle$ $\tilde{a}_p |q_1 q_2 \dots q_p \dots q_M \rangle = \delta_{q_p, 1} (-1)^{\sum_{k=1}^{p-1} q_k} |q_1 q_2 \dots 0 \dots q_M \rangle$





 $|f_1 \dots f_M\rangle \longrightarrow |q_1 \dots q_M\rangle \longrightarrow$ Mapping of state is straightforward $a_p | f_1 f_2 \dots f_p \dots f_M \rangle = \delta_{f_p, 1} (-1)^{\sum_{k=1}^{p-1} f_k} | f_1 f_2 \dots 0 \dots f_M \rangle$ $\tilde{a}_p |q_1 q_2 \dots q_p \dots q_M\rangle = \delta_{q_p, 1} (-1)^{\sum_{k=1}^{p-1} q_k} |q_1 q_2 \dots$ $\tilde{a}_p = \sigma_z^{(1)} \otimes \sigma_z^{(2)} \otimes \cdots \otimes \sigma_-^{(p)} \otimes \mathbb{I}^{(p+1)} \otimes \cdots \otimes \mathbb{I}^{(M)}$

$$0 \dots q_M \rangle$$

$$\sigma_{-}^{(p)} = |0\rangle\langle 1| = \frac{1}{2}(\sigma_x^{(p)} + i\sigma_y^{(p)})$$



 $|f_1 \dots f_M\rangle \longrightarrow |q_1 \dots q_M\rangle \longrightarrow$ Mapping of state is straightforward $a_p | f_1 f_2 \dots f_p \dots f_M \rangle = \delta_{f_p, 1} (-1)^{\sum_{k=1}^{p-1} f_k} | f_1 f_2 \dots$ $\tilde{a}_p |q_1 q_2 \dots q_p \dots q_M\rangle = \delta_{q_p, 1} (-1)^{\sum_{k=1}^{p-1} q_k} |q_1 q_2 \dots q_N\rangle$ $\tilde{a}_p = \sigma_z^{(1)} \otimes \sigma_z^{(2)} \otimes \cdots \otimes \sigma_-^{(p)} \otimes \mathbb{I}^{(p+1)} \otimes \cdots \otimes \mathbb{I}^{(M)}$ $\tilde{a}_p^{\dagger} = \sigma_z^{(1)} \otimes \sigma_z^{(2)} \otimes \cdots \otimes \sigma_+^{(p)} \otimes \mathbb{I}^{(p+1)} \otimes \cdots \otimes \mathbb{I}^{(M)}$

$$0 \dots f_M \rangle$$

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$$\sigma_{-}^{(p)} = |0\rangle\langle 1| = \frac{1}{2}(\sigma_x^{(p)} + i\sigma_y^{(p)})$$

•
$$\sigma_{+}^{(p)} = |1\rangle\langle 0| = \frac{1}{2}(\sigma_{x}^{(p)} - i\sigma_{y}^{(p)})$$



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Find a qubit Hamiltonian equivalent to electronic one (Jordan-Wigner mapping)

Why are Pauli matrices a suitable choice?

Single-qubit quantum gates are 2×2 unitary matrices \rightarrow Pauli matrices are 2 \times 2 Hermitian (unitary) matrices

$$\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \qquad \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \qquad \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$$\rightarrow \sigma_{-}^{(p)} = |0\rangle\langle 1| = \frac{1}{2}(\sigma_x^{(p)} + i\sigma_y^{(p)})$$

•
$$\sigma_{+}^{(p)} = |1\rangle\langle 0| = \frac{1}{2}(\sigma_{x}^{(p)} - i\sigma_{y}^{(p)})$$





 $|f_1 \dots f_M\rangle \longrightarrow |q_1 \dots q_M\rangle \longrightarrow$ Mapping of state is straightforward $a_p | f_1 f_2 \dots f_p \dots f_M \rangle = \delta_{f_p, 1} (-1)^{\sum_{k=1}^{p-1} f_k} | f_1 f_2 \dots$ $\tilde{a}_p |q_1 q_2 \dots q_p \dots q_M\rangle = \delta_{q_p, 1} (-1)^{\sum_{k=1}^{p-1} q_k} |q_1 q_2 \dots$ $\tilde{a}_p = \sigma_z^{(1)} \otimes \sigma_z^{(2)} \otimes \cdots \otimes \sigma_-^{(p)} \otimes \mathbb{I}^{(p+1)} \otimes \cdots \otimes \mathbb{I}^{(M)}$ $\tilde{a}_p^{\dagger} = \sigma_z^{(1)} \otimes \sigma_z^{(2)} \otimes \cdots \otimes \sigma_+^{(p)} \otimes \mathbb{I}^{(p+1)} \otimes \cdots \otimes \mathbb{I}^{(M)}$

$$\hat{H}_{e} = \sum_{pq} h_{pq} a_{p}^{\dagger} a_{q} + \frac{1}{2} \sum_{pqrs} g_{pqrs} a_{p}^{\dagger} a_{r}^{\dagger} a_{r}$$





Calculating the energy on a quantum computer

The Hamiltonian is given as a linear combination of Pauli strings



Each term is a product of local operators



$$\hat{P}_k = \bigotimes_{i=1}^N \sigma_{k_i}^{(i)}$$

Calculating the energy on a quantum computer

- The Hamiltonian is given as a linear combination of Pauli strings $\hat{H}_e = \sum c_k \hat{P}_k$ — Each term is a product of local operators
- We can calculate expectation values on the quantum computer

$$\left|\Psi\right\rangle = \sum_{\vec{f}} \alpha_{\vec{f}} \left|f_1 f_2 \dots f_M\right\rangle$$





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$$\Psi \left| \hat{H}_{e} \right| \Psi \rangle = \sum_{k} c_{k} \langle \Psi \left| \hat{P}_{k} \right| \Psi \rangle$$

Calculating the energy on a quantum computer

- The Hamiltonian is given as a linear combination of Pauli strings $\hat{H}_e = \sum c_k \hat{P}_k$ Each term is a product of local operators
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Cannot even be written down on a classical computer

Easy on a quantum computer: only requires measuring Pauli strings



$$\hat{P}_k = \bigotimes_{i=1}^N \sigma_{k_i}^{(i)}$$

$$\Psi \left| \hat{H}_{e} \right| \Psi \rangle = \sum_{k} c_{k} \langle \Psi \left| \hat{P}_{k} \right| \Psi \rangle$$

Solving the problem The Variational Quantum Eigensolver

• Prepare some quantum state using a so-called variational form (ansatz)


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Quantum Hardware



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- Gates (unitary rotations) in the ansatz have free parameters { θ }
- For each value of the parameters the resulting state has some mean energy $\langle E \rangle$





$$\langle E \rangle = \left\langle \Psi(\vec{\theta}) \middle| \hat{H}_e \middle| \Psi(\vec{\theta}) \right\rangle \ge E_{\text{groun}}$$



- Prepare some quantum state using a so-called variational form (ansatz)
- Gates (unitary rotations) in the ansatz have free parameters { θ }
- For each value of the parameters the resulting state has some mean energy $\langle E \rangle$
- Find the ground state variationally: minimising over the parameters $\{\theta\}$



$$\langle E \rangle = \left\langle \Psi(\vec{\theta}) \middle| \hat{H}_e \middle| \Psi(\vec{\theta}) \right\rangle \ge E_{\text{groun}}$$



Measuring the energy in a VQE simulation



$$\langle \Psi \mid \hat{H}_e \mid \Psi \rangle = \sum_k c_k \langle \Psi \mid \hat{P}_k \mid$$

Every Pauli string evaluated independently through repeated measurements



Measuring the energy in a VQE simulation



$$\langle \Psi \left| \ \hat{H}_{e} \right| \Psi \rangle = \sum_{k} c_{k} \langle \Psi \left| \ \hat{P}_{k} \right|$$

Every Pauli string evaluated independently through repeated measurements



Measuring the energy in a VQE simulation



$$\left\langle \Psi \right| \hat{H}_{e} \left| \Psi \right\rangle = \sum_{k} c_{k} \left\langle \Psi \right| \hat{P}_{k} \right|$$

Every Pauli string evaluated independently through repeated measurements

Repeat each many times to estimate the mean $\langle \Psi | \hat{P}_k | \Psi \rangle$





Sounds good, BUT

- How do we know the ansatz contains the ground state?
- How do we find the corresponding parameters?
- How efficient is the whole approach?
- What about the noise?



$$\langle E \rangle = \left\langle \Psi(\vec{\theta}) \middle| \hat{H}_e \middle| \Psi(\vec{\theta}) \right\rangle \ge E_{\text{groun}}$$

Reliable state preparation with ADAPT-VQE



Nat. Comm., 10, 3007 (2019)

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Identifying challenges towards practical quantum advantage through resource estimation: the measurement roadblock in the variational quantum eigensolver

Jérôme F. Gonthier,¹ Maxwell D. Radin,¹ Corneliu Buda,² Eric J. Doskocil,² Clena M. Abuan,³ and Jhonathan Romero¹ ¹Zapata Computing, Inc., 100 Federal St., Boston, MA 02110, USA

Molecule	H ₂ O	CO ₂	CH ₄	CH ₄ O	C_2H_6	C_2H_4	C_2H_2	C_2H_6O	C_3H_8	C_3H_6	C_3H_4
N _{el}	8	16	8	14	14	12	10	20	20	18	16
N _q	104	208	104	182	182	156	130	260	260	234	208
$K \cdot 10^{-3}$	1.9	16	1.6	8.4	8.5	6.6	3.1	24	16	23	18
$M \cdot 10^{-9}$	3.9	32	3.2	17	17	13	6.2	48	31	46	36
t (days)	2.3	39	1.9	18	18	12	4.6	71	47	62	44

TABLE IV. Estimated runtimes *t* in days for a single energy evaluation using the number of measurements *M* from extrapolated

arXiv: 2012.04001



Sounds good, BUT

- How do we know the ansatz contains the ground state?
- How do we find the corresponding parameters?
- How efficient is the whole approach?
- What about the noise?

Efficient measurement strategies are required! Noise mitigation is crucial!



Adapt-VQE-SCF at work

LiH / CAS(4,4)/cc-pVDZ



$H_4 / CAS(4,4) / cc-pVDZ$



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Runtime



Quantum computers without good algorithms are useless machines

How many sceptics in the audience?



RAM: 4KB hard disk: 32KB

RAM: 4KB not sufficient to store even a 5 qubit density matrix with double precision







Margaret Hamilton

The software of the AGC



"Software eventually and necessarily gained the same respect as any other discipline"







"Looking back, we were the luckiest people in the world. There was no choice but to be pioneers; no time to be beginners"



CONCLUSION

Quantum computers without algorithms are useless machines

With proper algorithms we can make quantum computers work We need to know how to measure We need to mitigate errors and correct for them

