

Reminder: Constrained variation and non-variational wave functions

- If wave function is constructed via **constrained variation** or in a **non-variational** manner, in principle response of wave-function parameters needs to be calculated

$$\frac{dE(x)}{dx} = \frac{\partial E}{\partial x} + \frac{\partial E}{\partial c} \frac{\partial c}{\partial x}$$

doesn't vanish  needs hence to be calculated!

The diagram shows the equation $\frac{dE(x)}{dx} = \frac{\partial E}{\partial x} + \frac{\partial E}{\partial c} \frac{\partial c}{\partial x}$. A blue oval highlights the term $\frac{\partial E}{\partial c}$. A blue arrow points from this oval to the text "doesn't vanish". An orange arrow points from the $\frac{\partial c}{\partial x}$ term to the text "needs hence to be calculated!". A yellow smiley face with a sweat drop is positioned above the orange text.

- Possible...., will need a set of equations **per** perturbation → we chose method of Lagrange multipliers instead → avoid to calculate dc/dx

Reminder: method of Lagrange multipliers

- Set up energy functional (Lagrangian L) with side condition f

- Side condition written such that

$$f(x, c(x)) = 0$$

- If those side conditions are fulfilled, Lagrangian is equal to the energy itself: $L=E$

- Lagrangian:

$$L = E + \lambda f$$

Lagrange multiplier

side condition

- Enforce stationarity $\frac{\partial L}{\partial c} \stackrel{!}{=} 0$ and $\frac{\partial L}{\partial \lambda} \stackrel{!}{=} 0$

notice: L , not E !

Gives back side condition f

Reminder: method of Lagrange multipliers

- Hence for the gradient:

$$\frac{dL(x)}{dx} = \frac{\partial L}{\partial x} + \underbrace{\frac{\partial L}{\partial c} \frac{\partial c}{\partial x}}_{=0} + \underbrace{\frac{\partial L}{\partial \lambda} \frac{\partial \lambda}{\partial x}}_{=0}$$

→ We managed to avoid having to solve for response of wave function parameters to perturbation!

$$\boxed{\frac{dL(x)}{dx} = \frac{\partial L}{\partial x} = \frac{\partial E}{\partial x} + \lambda \frac{\partial f}{\partial x}}$$

In addition, as compared to expression for variational methods.

Only contributions from explicit dependence (perturbed integrals) needed

Reminder CC Lagrangian

$$L^{\text{CC}} = \langle \Psi^{\text{HF}} | \exp(-\hat{T}) \hat{H} \exp(\hat{T}) | \Psi^{\text{HF}} \rangle$$

$$+ \sum_p \lambda_p \langle \Psi_p | \exp(-\hat{T}) \hat{H} \exp(\hat{T}) | \Psi^{\text{HF}} \rangle$$

$$+ \sum_{ai} Z_{ai} f_{ai}$$

$$+ \sum_{pq} I_{pq} (S_{pq} - \delta_{pq})$$

Orbital
relaxation
terms

CC energy

CC amplitude eqs.

Brillouin condition
(HF eqs. fulfilled)

Orthonormality of
MOs
(HF side condition)

Wigner's $(2n+1)$ rule for wave-function parameters

- One can show that the n -th derivative of the wave-function parameters is sufficient for the calculation up to the $(2n+1)$ -th derivative of the energy!

$$c^{(n)} \rightarrow E^{(2n+1)}$$

- $n=0 \rightarrow$ 1st derivative of energy
- $n=1 \rightarrow$ 2nd and 3rd derivative of the energy
- $n=2 \rightarrow$ 4th and 5th derivative of the energy

no response of the wave
function parameters
required



- Holds for variational wave functions
- Holds for constrained variation and non-variational methods when **Lagrangian** is used

$(2n+2)$ rule for Lagrange multipliers

- Similarly, one can show that the n -th derivative of the Lagrange multipliers is sufficient for the calculation up to the $(2n+2)$ -th derivative of the energy!

$$\lambda^{(n)} \rightarrow E^{(2n+2)}$$

$n=0 \rightarrow 1^{\text{st}} \text{ and } 2^{\text{nd}} \text{ derivative of energy}$

$n=1 \rightarrow 3^{\text{rd}} \text{ and } 4^{\text{th}} \text{ derivative of the energy}$

$n=2 \rightarrow 5^{\text{th}} \text{ and } 6^{\text{th}} \text{ derivative of the energy}$

First contribution appears for third derivative of the energy!

Second derivatives

- For the second derivative, according to the $(2n+1)$ and $(2n+2)$ rules, the first derivative of the wave-function parameters $c^{(1)}$ is required, no derivatives with respect to the Lagrange multipliers $\lambda^{(0)}$.

$$\frac{d^2 E}{dx dy} = \frac{\partial^2 L}{\partial x \partial y} + \frac{\partial^2 L}{\partial x \partial c} \frac{\partial c}{\partial y} + \frac{\partial^2 L}{\partial y \partial c} \frac{\partial c}{\partial x} + \frac{\partial^2 L}{\partial c^2} \frac{\partial c}{\partial x} \frac{\partial c}{\partial y}$$

- Equations for the **perturbed wave-function parameters** are obtained via **differentiation** of their conditional equations wrt to the perturbation.

Second derivatives

- Depending on the perturbation it can be advantageous to differentiate the first derivative leading to the so-called **asymmetric expression**

$$\frac{d^2 E}{dx dy} = \frac{d}{dy} \frac{\partial L}{\partial x} = \frac{\partial^2 L}{\partial x \partial y} + \frac{\partial^2 L}{\partial x \partial c} \frac{\partial c}{\partial y} + \frac{\partial^2 L}{\partial x \partial \lambda} \frac{\partial \lambda}{\partial y}$$

- No derivative of **c wrt to perturbation x** needed.
- Instead, derivative of **λ wrt to y** needed.
- Order of differentiation: Example NMR shieldings:
 - Perturbation 1: Nuclear magnetic moment, N_{atom}^*3 components
 - Perturbation 2: Magnetic field, 3 components, two sets

Harmonic frequencies

- Typical example: harmonic vibrational frequencies
- Taylor expansion of the BO potential around equilibrium geometry & cut after harmonic approximation

$$V^{\text{BO}} \approx V_{\text{eq}} + \frac{1}{2} \sum_{ij}^{3N_{\text{atom}}} \left(\frac{\partial^2 V}{\partial X_i \partial X_j} \right)_{\text{eq}} (X_i - X_i^{\text{eq}})(X_j - X_j^{\text{eq}})$$

elements H_{ij} of Hessian

$$\approx V_{\text{eq}} + \frac{1}{2} \sum_{ij}^{3N_{\text{atom}}} H_{ij} \Delta x_i \Delta x_j$$

- Insert into nuclear Hamiltonian $\hat{H}_{\text{nuc}} = \hat{T}_{\text{nuc}} + V^{\text{BO}}$

$$\hat{H}_{\text{nuc}} = -\frac{1}{2} \sum_i^{3N_{\text{atom}}} \frac{1}{M_i} \frac{\partial^2}{\partial X_i^2} + \frac{1}{2} \sum_i^{3N_{\text{atom}}} H_{ij} \Delta x_i \Delta x_j$$

couplings

Harmonic frequencies

- Introduce mass-weighted coordinates $\tilde{x}_i = \sqrt{M_i} \Delta x_i$

$$\hat{H}_{\text{nuc}} = -\frac{1}{2} \sum_i^{3N_{\text{atom}}} \frac{\partial^2}{\partial \tilde{x}_i^2} + \frac{1}{2} \sum_{ij}^{3N_{\text{atom}}} \underbrace{\frac{H_{ij}}{\sqrt{M_i M_j}}}_{K_{ij}} \tilde{x}_i \tilde{x}_j$$

- Diagonalize **K matrix** to construct new coordinates from the eigenvectors **L** $\mathbf{KL} = \mathbf{L}\lambda$

- Build normal coordinates $Q_i = \sum_j L_{ji} \tilde{x}_j$

$$\hat{H}_{\text{nuc}} = -\frac{1}{2} \sum_i^{3N_{\text{atom}}} \frac{\partial^2}{\partial Q_i^2} + \frac{1}{2} \sum_i^{3N_{\text{atom}}} \lambda_i Q_i^2 = \sum_i^{3N_{\text{atom}}} \hat{H}_i^{\text{HO}} \quad \begin{array}{l} \text{separable} \\ \text{harmonic oscillator} \end{array}$$

no couplings

- vibrational frequencies $\omega_i = \sqrt{\lambda_i}$ normal-coordinate analysis

Expression for HF second derivatives

asymmetric expression: $\frac{d^2 E}{dx dy} = \frac{d}{dy} \frac{\partial L}{\partial x} = \frac{\partial^2 L}{\partial x \partial y} + \frac{\partial^2 L}{\partial x \partial c} \frac{\partial c}{\partial y} + \frac{\partial^2 L}{\partial x \partial \lambda} \frac{\partial \lambda}{\partial y}$

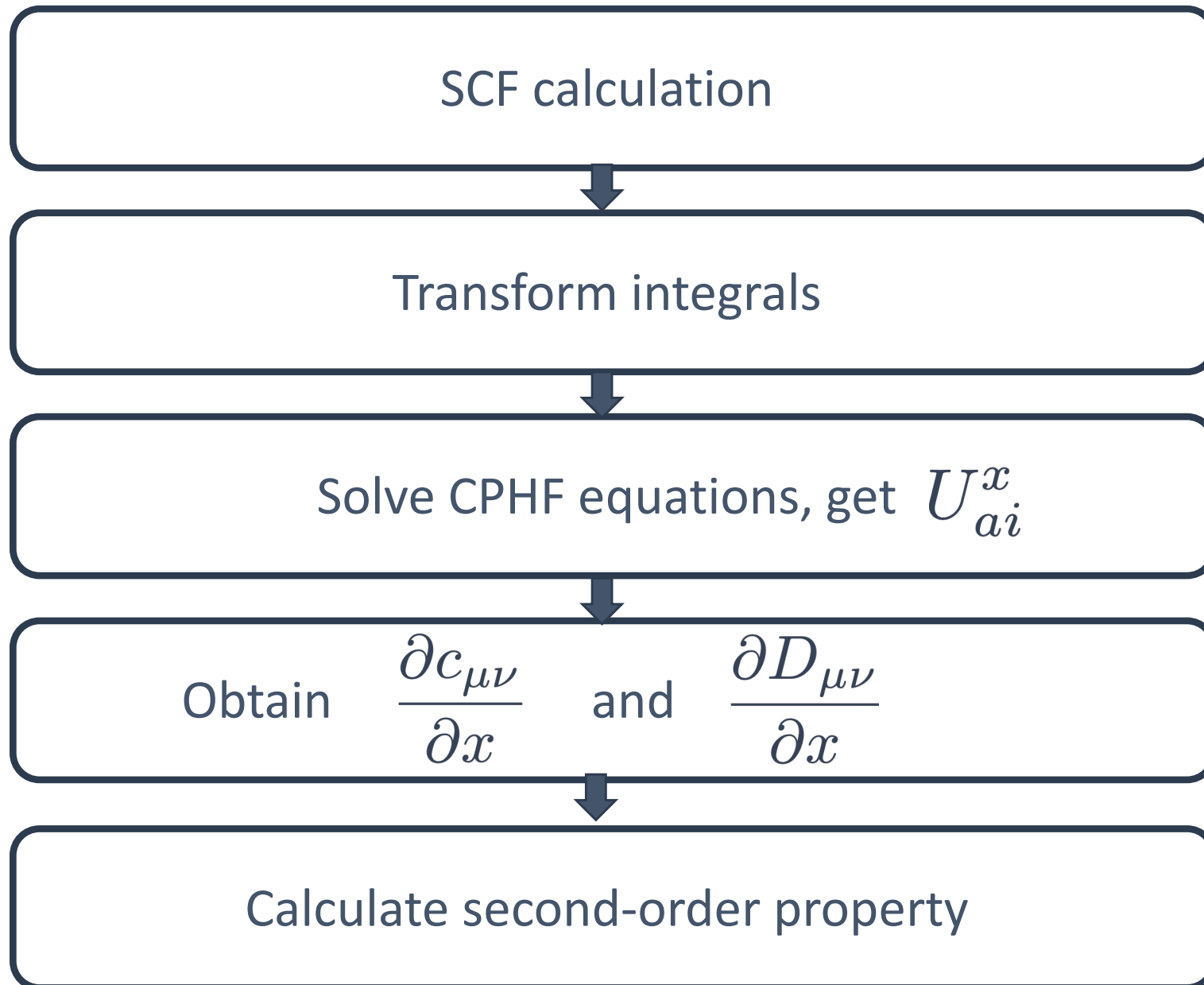
$$\begin{aligned} \frac{d^2 E^{\text{HF}}}{dx dy} = \frac{d}{dy} \frac{\partial L^{\text{HF}}}{\partial x} = & \sum_{\mu\nu} D_{\mu\nu} \frac{\partial^2 h_{\mu\nu}}{\partial x \partial y} + \frac{1}{2} \sum_{\mu\nu\sigma\rho} D_{\mu\nu} D_{\sigma\rho} \left(\frac{\partial^2 \langle \mu\sigma | \nu\rho \rangle}{\partial x \partial y} - \frac{1}{2} \frac{\partial^2 \langle \mu\sigma | \rho\nu \rangle}{\partial x \partial y} \right) \\ & - \sum_{\mu\nu} W_{\mu\nu} \frac{\partial^2 S_{\mu\nu}}{\partial x \partial y} \\ & + \sum_{\mu\nu} \frac{\partial D_{\mu\nu}}{\partial y} \left(\frac{\partial h_{\mu\nu}}{\partial x} + \sum_{\sigma\rho} P_{\sigma\rho} \left(\frac{\partial \langle \mu\sigma | \nu\rho \rangle}{\partial x} - \frac{1}{2} \frac{\partial \langle \mu\sigma | \rho\nu \rangle}{\partial x} \right) \right) \\ & - \sum_{\mu\nu} \frac{\partial W_{\mu\nu}}{\partial y} \frac{\partial S_{\mu\nu}}{\partial x} \end{aligned}$$

with

$$\frac{\partial D_{\mu\nu}}{\partial y} = 2 \sum_i \left\{ \frac{\partial c_{\mu i}^*}{\partial y} c_{\nu i} + c_{\mu i}^* \frac{\partial c_{\nu i}}{\partial y} \right\} \quad \frac{\partial W_{\mu\nu}}{\partial y} = 2 \sum_i \left\{ \frac{\partial c_{\mu i}^*}{\partial y} \epsilon_i c_{\nu i} + c_{\mu i}^* \epsilon_i \frac{\partial c_{\nu i}}{\partial y} \right\} + \sum_{ij} c_{\mu i}^* \frac{\partial \epsilon_{ji}}{\partial y} c_{\nu j}$$

(2n+1) → Derivative of MO coefficients needed → CPHF equations
Shown here: asymmetric expression

Flowchart HF second derivatives



Expression for CC second derivatives

- symmetric expression: $\frac{d^2 E}{dx dy} = \frac{\partial^2 L}{\partial x \partial y} + \frac{\partial^2 L}{\partial x \partial c} \frac{\partial c}{\partial y} + \frac{\partial^2 L}{\partial y \partial c} \frac{\partial c}{\partial x} + \frac{\partial^2 L}{\partial c^2} \frac{\partial c}{\partial x} \frac{\partial c}{\partial y}$

$$\begin{aligned} \frac{d^2 E}{dx dy} = & \langle 0 | (1 + \Lambda) \exp(-T) \frac{\partial^2 H}{\partial x \partial y} \exp(T) | 0 \rangle \\ & + \langle 0 | (1 + \Lambda) \left[\exp(-T) \frac{\partial H}{\partial x} \exp(T), \frac{dT}{dy} \right] | 0 \rangle + \\ & + \langle 0 | (1 + \Lambda) \left[\exp(-T) \frac{\partial H}{\partial y} \exp(T), \frac{dT}{dx} \right] | 0 \rangle + \\ & + \langle 0 | (1 + \Lambda) \left[\left[\exp(-T) H \exp(T), \frac{dT}{dx} \right], \frac{dT}{dy} \right] | 0 \rangle. \end{aligned}$$

Skipping here the contributions from orbital relaxation.

(2n+1) rule → first derivatives of t amplitudes

(2n+2) rule → no derivative of λ amplitudes

Expression for CC second derivatives

- asymmetric expression: $\frac{d^2 E}{dx dy} = \frac{d}{dy} \frac{\partial L}{\partial x} = \frac{\partial^2 L}{\partial x \partial y} + \frac{\partial^2 L}{\partial x \partial c} \boxed{\frac{\partial c}{\partial y}} + \frac{\partial^2 L}{\partial x \partial \lambda} \boxed{\frac{\partial \lambda}{\partial y}}$

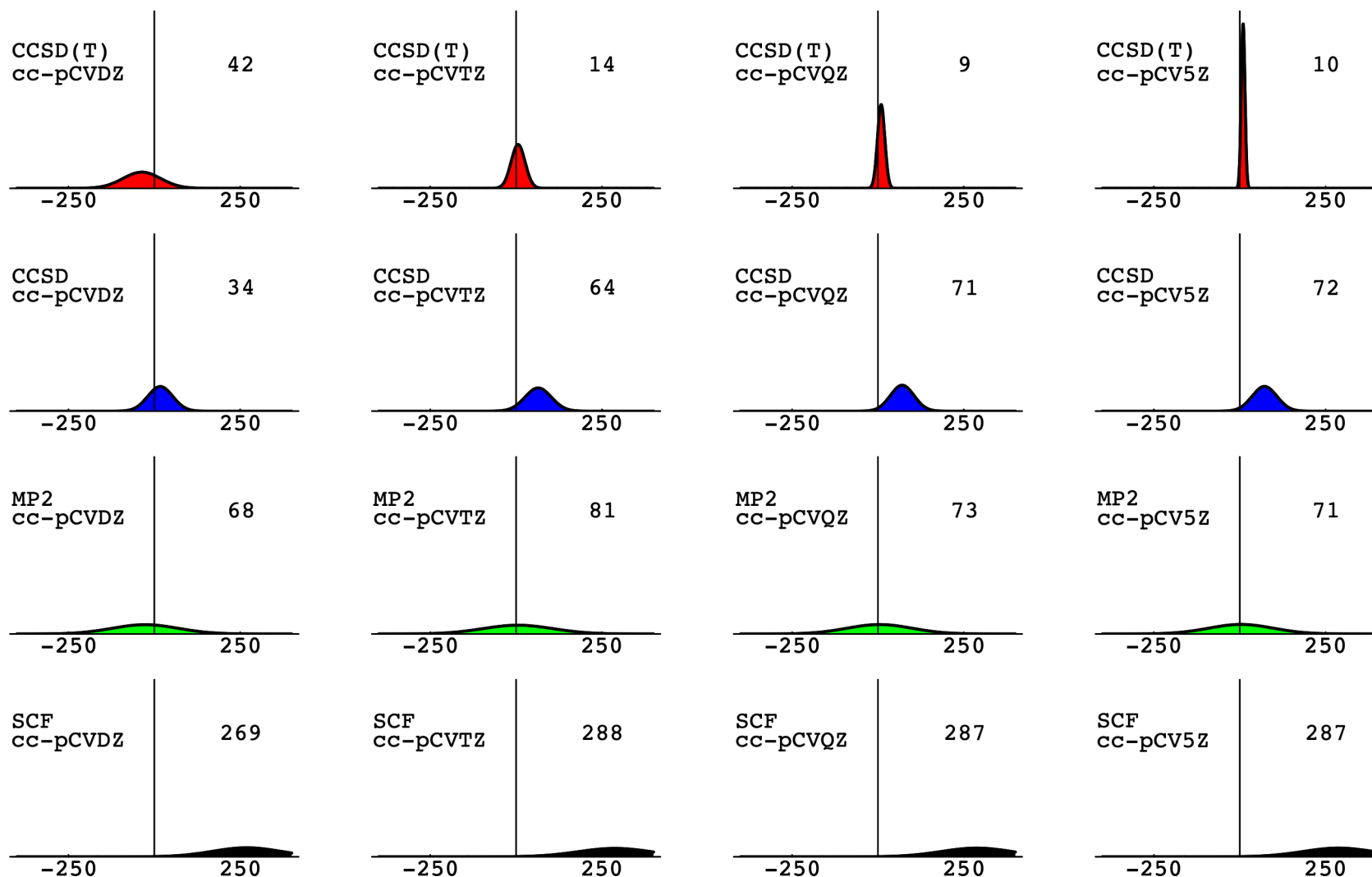
$$\begin{aligned} \frac{d^2 E}{dx dy} = & \langle 0 | (1 + \Lambda) \exp(-T) \frac{\partial^2 H}{\partial x \partial y} \exp(T) | 0 \rangle \\ & + \langle 0 | (1 + \Lambda) \left[\exp(-T) \frac{\partial H}{\partial x} \exp(T), \boxed{\frac{dT}{dy}} \right] | 0 \rangle + \\ & + \langle 0 | \boxed{\frac{d\Lambda}{dy}} \exp(-T) \frac{\partial H}{\partial x} \exp(T) | 0 \rangle. \end{aligned}$$

Skipping here the contributions from orbital relaxation
full differentiation of the gradient expression :

- perturbed CC equations wrt x
- perturbed λ equations wrt y

Harmonic constants ω_e of BH, CO, N₂, HF, F₂

in cm⁻¹



Summary

- Properties as derivatives
- Numerical vs. analytical derivatives
- Lagrangian techniques for constrained variation and non-variational wave functions
 - Avoid calculation of response of wave function parameters if possible
- Expressions for gradients and second derivatives

Part 2

Magnetic properties and molecules in magnetic fields

Contents

- Introduction into electromagnetic fields
- Derivation of Hamiltonian with electromagnetic fields
- Magnetizabilities
- Gauge-origin dependence in quantum-chemical calculations
- Further magnetic properties
- Strong magnetic fields

Fields, Forces, and Potentials

- Particles can be charged
- Charged particles interact

Coulomb force
(SI units)

$$\underline{F}^{\text{Coul}} = \frac{1}{4\pi\epsilon_0} \frac{z_1 z_2}{r^3} \underline{r}$$

charges z_1 and z_2

vacuum permittivity

- Charge generates electric field
- Second charge interacts with electric field (feels force in the electric field generated by z_1)

$$\underline{E} = \frac{\underline{F}}{z_2} = \frac{1}{4\pi\epsilon_0} \frac{z_1}{r^3} \underline{r}$$

A quick reminder...

Nabla-operator (vector) $\nabla = \begin{pmatrix} \frac{\partial}{\partial x} \\ \frac{\partial}{\partial y} \\ \frac{\partial}{\partial z} \end{pmatrix}$

Gradient (on scalar) $\rightarrow$ vector $\nabla f = \begin{pmatrix} \frac{\partial f}{\partial x} \\ \frac{\partial f}{\partial y} \\ \frac{\partial f}{\partial z} \end{pmatrix}$

Divergence (on vector) $\rightarrow$ scalar $\nabla \underline{A} = \frac{\partial A_x}{\partial x} + \frac{\partial A_y}{\partial y} + \frac{\partial A_z}{\partial z}$

Curl $\nabla \times \underline{A} = \begin{pmatrix} \frac{\partial A_z}{\partial y} - \frac{\partial A_y}{\partial z} \\ \frac{\partial A_x}{\partial z} - \frac{\partial A_z}{\partial x} \\ \frac{\partial A_y}{\partial x} - \frac{\partial A_x}{\partial y} \end{pmatrix}$

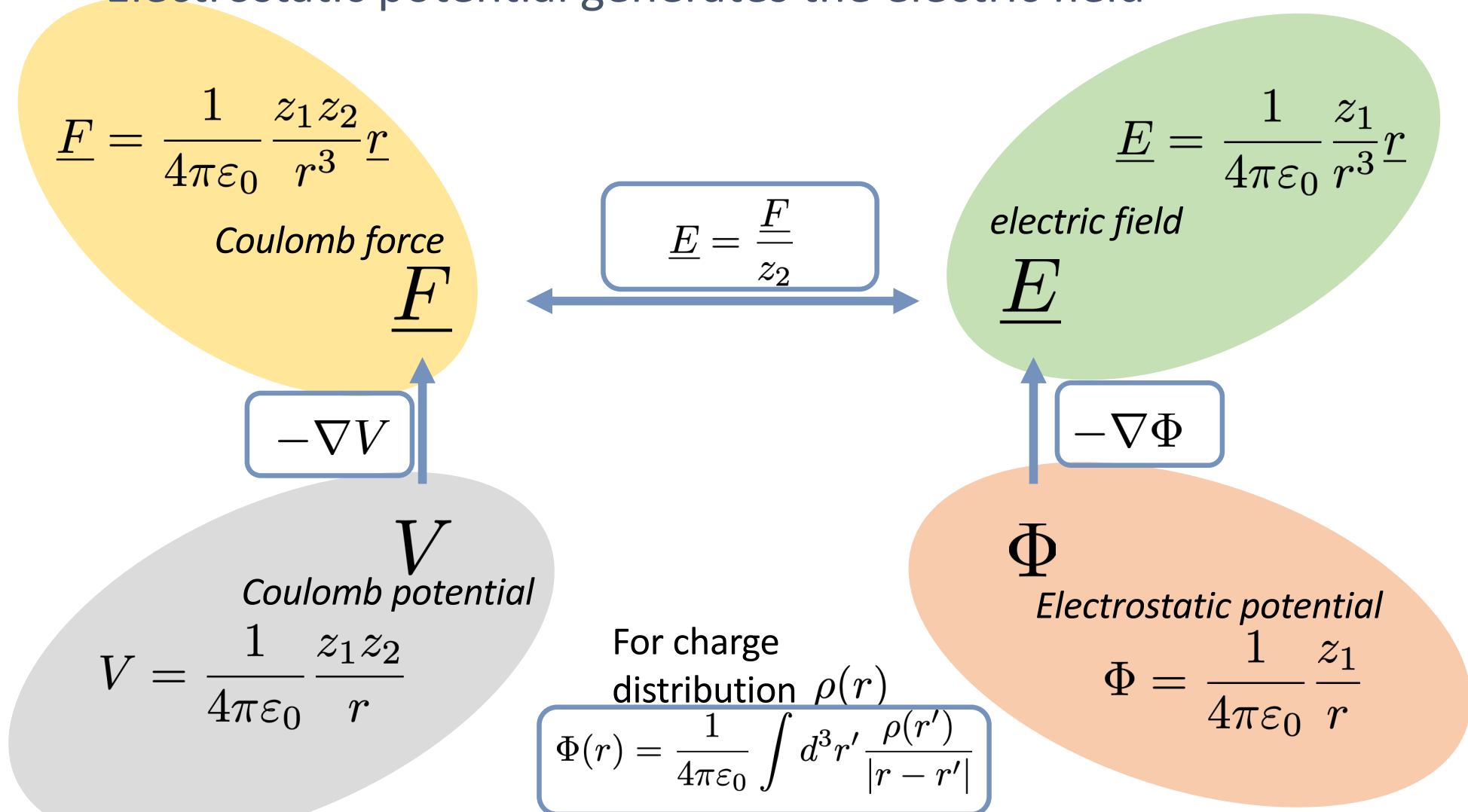
Curl of gradient $\nabla \times \nabla f = \underline{0}$

Divergence of curl $\nabla \cdot (\nabla \times \underline{A}) = 0$

Fields, Forces, and Potentials

$$\underline{F} = -\nabla V$$

- Coulomb force is conservative $\rightarrow$ can be deduced from potential
- Electrostatic potential generates the electric field



Fields, Forces, and Potentials

- Fields are associated with forces
 - In quantum-mechanics we work with potentials rather than forces (see Hamiltonian...)
 - For conservative forces, the potential is related to the force simply via $F = -\nabla V$
- Easy to work with potentials

Moving charges and magnetic fields

- A moving charge furthermore experiences a Lorentz-force

$$\underline{F}^{\text{Lorentz}} = z(\underline{v} \times \underline{B})$$

force is dependent on velocity!

deflection perpendicular to $\underline{B}$

magnetic field

- Additional non-conservative force!
- Forces on matter through $\underline{E}$ and $\underline{B}$
→ Coulomb- and Lorentz forces

$$\underline{F}^{\text{tot}} = z(\underline{E} + \underline{v} \times \underline{B})$$

electric part

magnetic part

often referred
to as Lorentz
force

Straightforward to be used within Newton's formulation of classical mechanics!

Moving charges and magnetic fields

- In the case of a non-conservative force, the relationship with the potential is not as simple...

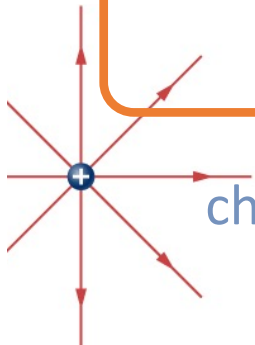
$$F \neq -\nabla V$$

- How can we then get access to the potentials?

Maxwell Equations

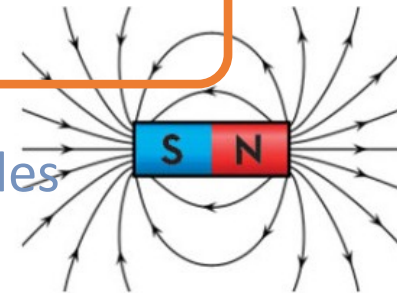
- Maxwell equations provide **basis for electrodynamics**
connect $\underline{E}$ and $\underline{B}$ as well as charge density ρ and current $\underline{j}$

$$\nabla \underline{E} = \frac{1}{\epsilon_0} \rho$$



charges create electric field

$$\nabla \underline{B} = 0$$



no magnetic monopoles

$$\nabla \times \underline{E} = -\frac{\partial \underline{B}}{\partial t}$$

time-dependent magnetic field
generates electric field

$$\nabla \times \underline{B} = -\mu_0 \underline{j} + \frac{1}{c^2} \frac{\partial \underline{E}}{\partial t}$$

currents generate
magnetic field

Lorentz invariant (same value in all inertial reference frames)

Potentials of electromagnetic fields

- Lorentz force not conservative $\rightarrow$ cannot be traced back to a simple scalar potential!
- Limiting cases:
 - $E \neq 0, B = 0$ $\underline{E} = -\nabla\Phi$ (as before)
 - $B \neq 0, E = 0$ $\underline{B} = \nabla \times \underline{A}$ fulfills 2. Maxwell eq: $\nabla \cdot \underline{B} = 0$

$\underline{A}$ is the so-called vector potential which is associated with the magnetic field. We define it such that it gives back $\underline{B}$

Potentials of electromagnetic fields

- Lorentz force not conservative $\rightarrow$ cannot be traced back to a simple scalar potential!

- Limiting cases:

- $E \neq 0, B = 0$

$$\underline{E} = -\nabla\Phi$$

(as before)

- $B \neq 0, E = 0$

$$\underline{B} = \nabla \times \underline{A}$$

(fulfills 2.MEQ: $\nabla \underline{B} = 0$)

- $E \neq 0, B \neq 0$

using 3. MEQ: $\nabla \times \underline{E} = -\frac{\partial}{\partial t} \underline{B}$

$$\nabla \times \underline{E} = -\frac{\partial}{\partial t} (\nabla \times \underline{A})$$

$$\nabla \times \underline{E} = \nabla \times \left(-\frac{\partial \underline{A}}{\partial t} \right)$$

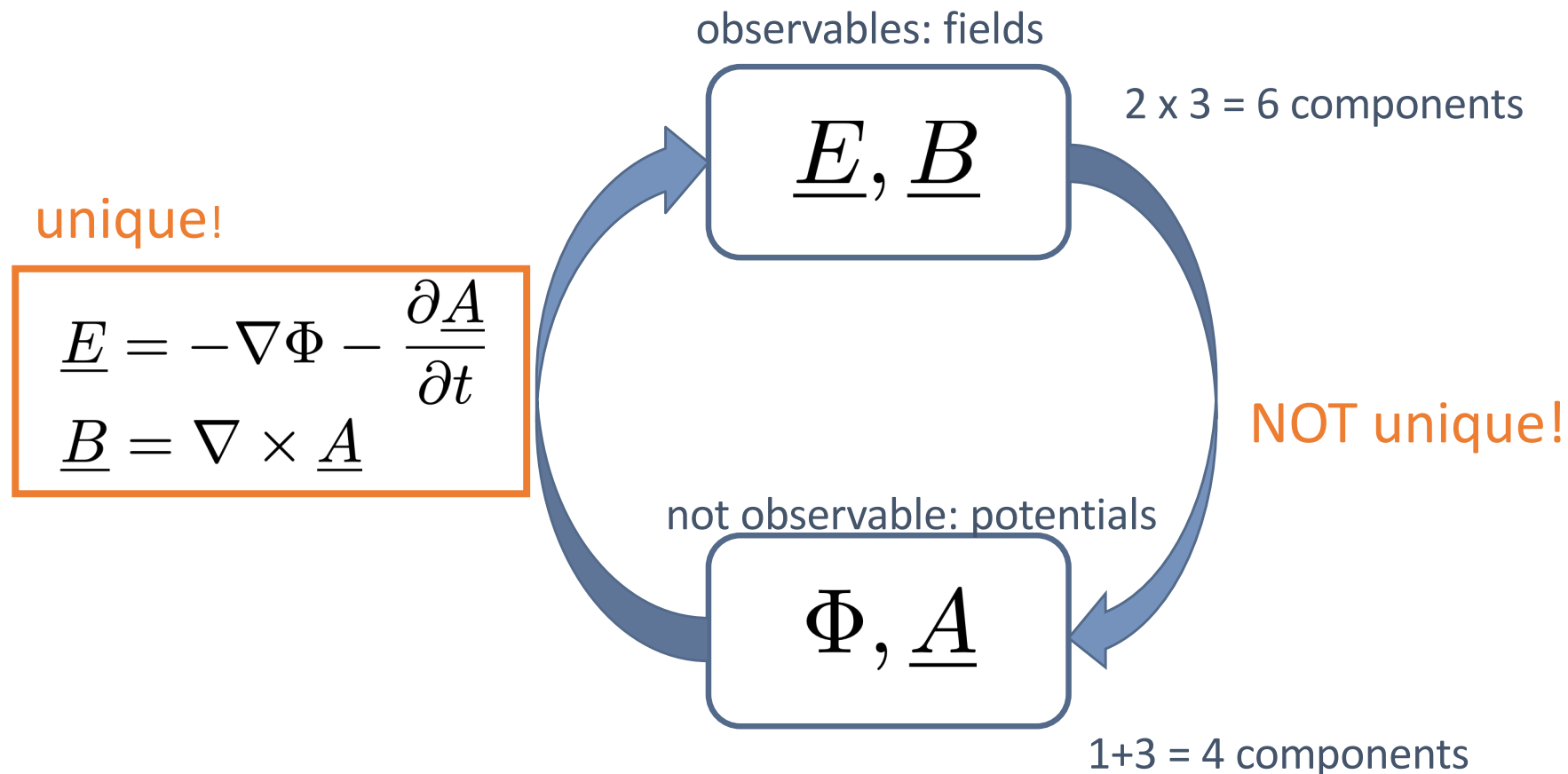
$$\underline{E} = -\frac{\partial \underline{A}}{\partial t} + \nabla f$$

can be added since
 $\nabla \times \nabla f = 0$
 hence no impact
 on 3. MEQ

$$\underline{E} = -\nabla\Phi - \frac{\partial \underline{A}}{\partial t}$$

rearrange rhs

Potentials of electromagnetic fields



This means: Many choices of vector potential and electrostatic potential lead to same observable electric and magnetic fields!

Choice of gauge

- Different choices of $\underline{A}$ and Φ can generate same $\underline{E}$ and $\underline{B}$
 - Case 1: $\underline{E} = -\nabla\Phi, \quad \underline{B} = 0$
can add a constant to Φ (because gradient is taken)
 - Case 2: $\underline{E} = 0, \quad \underline{B} = \nabla \times \underline{A}$
can add gradient of a scalar function to $\underline{A}$
 - General case: $\underline{E} = -\nabla\Phi - \frac{\partial \underline{A}}{\partial t}, \quad \underline{B} = \nabla \times \underline{A}$

Allowed
trans-
formations

$$\underline{A}' = \underline{A} + \nabla f$$

$$\Phi' = \Phi - \frac{\partial}{\partial t} f$$

no change in $\underline{B}$: $\underline{B}(\underline{A}) = \underline{B}(\underline{A}')$
but change in $\underline{E}$, compensate by
choice of arbitrary scalar function
 $f \rightarrow$ choice of gauge!

$$\underline{E}(\Phi, \underline{A}) = \underline{E}(\Phi', \underline{A}')$$

$$\underline{B}(\underline{A}) = \underline{B}(\underline{A}')$$

electric and magnetic
fields unchanged!

Concretely: choosig a set of $\underline{A}$ and Φ for given $\underline{E}$ and $\underline{B}$ is the choice auf gauge

Choice of gauge

observables

$$\underline{E}, \underline{B}$$

not observable

$$\Phi, \underline{A}$$

unique!

$$\begin{aligned}\underline{E} &= -\nabla\Phi - \frac{\partial \underline{A}}{\partial t} \\ \underline{B} &= \nabla \times \underline{A}\end{aligned}$$

NOT unique!

Gauge transformations:

$$\begin{aligned}\Phi' &= \Phi - \frac{\partial}{\partial t} f \\ \underline{A}' &= \underline{A} + \nabla f\end{aligned}$$

Using Φ' and $\underline{A}'$ instead of Φ and $\underline{A}$ will describe the same fields $\underline{E}$ and $\underline{B}$

Usually we require the vector potential to obey:

$$\nabla \underline{A} = 0$$

„Coulomb gauge“

implies also

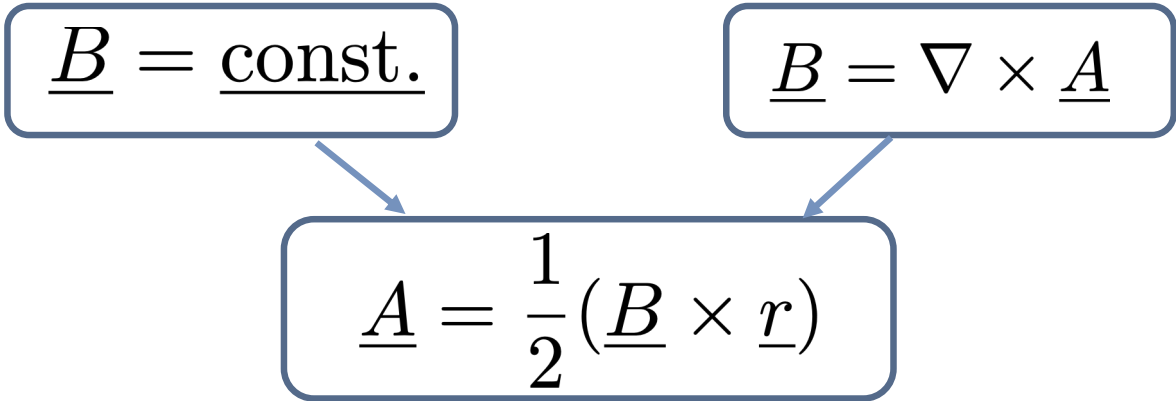
$$\nabla^2 f = 0$$

Choice of gauge-origin

- Example: Static homogenous magnetic field (independent of time and position)

$$\underline{B} = \underline{\text{const.}}$$

$$\underline{B} = \nabla \times \underline{A}$$


$$\underline{A} = \frac{1}{2}(\underline{B} \times \underline{r})$$

Choice of gauge-origin

- Example: B in z-direction

$$\underline{B} = \begin{pmatrix} 0 \\ 0 \\ B_z \end{pmatrix} \quad \boxed{\underline{A} = \frac{1}{2}(\underline{B} \times \underline{r})} \quad \underline{A} = \frac{1}{2} \begin{pmatrix} -B_z y \\ B_z x \\ 0 \end{pmatrix}$$

$$\underline{B} = \nabla \times \underline{A} = \frac{1}{2} \begin{pmatrix} 0 \\ 0 \\ \frac{\partial}{\partial x} A_y - \frac{\partial}{\partial y} A_x \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 0 \\ 0 \\ B_z + B_z \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ B_z \end{pmatrix}$$

Choice of gauge-origin

- Example: Static homogenous magnetic field (independent of time and position)

$$\underline{B} = \underline{\text{const.}}$$

$$\underline{B} = \nabla \times \underline{A}$$

$$\underline{A} = \frac{1}{2}(\underline{B} \times \underline{r})$$

- Equivalent: $\underline{A}' = \frac{1}{2}(\underline{B} \times (\underline{r} - \underline{R}_O))$

$$\underline{A}' = \frac{1}{2}\underline{B} \times \underline{r} - \frac{1}{2}\underline{B} \times \underline{R}_O$$

$$\underline{A}' = \underline{A} + \nabla f \quad f = -\frac{1}{2}(\underline{B} \times \underline{R}_O) \cdot \underline{r}$$

- Any choice of $\underline{R}_O$ is valid as it yields the *same magnetic field!*
 gauge origin

Recipe to get the Hamiltonian for magnetic interactions

Newton formulation of classical mechanics
(with Lorentz force, non-conservative!)



Lagrange formulation of classical mechanics



Hamilton formulation of classical mechanics

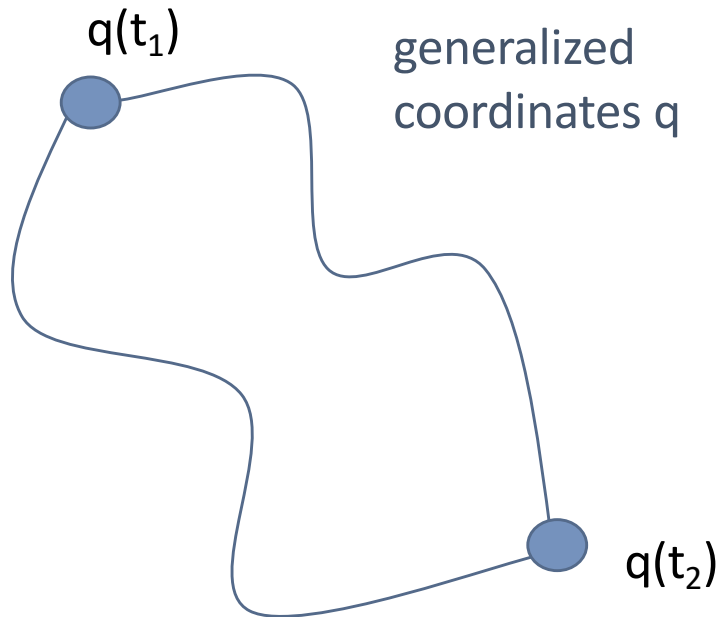
} equivalent
formulations!



quantization

Hamiltonian with magnetic interactions

Lagrangian mechanics



Q: Which path is taken for a particle moving from $q(t_1) \rightarrow q(t_2)$?

Hamilton principle: (least action) path minimizes action integral

meaning $\delta S = 0$

$$S = \int_{t_1}^{t_2} L(q, \dot{q}, t) dt$$

Lagrange function L

variation

Euler-Lagrange equations

(Lagrange equations of motion)

$$\frac{d}{dt} \left(\frac{\partial L}{\partial \dot{q}} \right) - \left(\frac{\partial L}{\partial q} \right) = 0$$

Note: Treat q and $\dot{q}$ as independent variables.

Note: For conservative force: $L(q, \dot{q}, t) = T(q, \dot{q}, t) - V(q)$
(does not hold for Lorentz force!)

Hamilton mechanics

Definition of conjugated **canonical momentum** $p = \frac{\partial L}{\partial \dot{q}}$



Hamilton function via Legendre transformation

$$H(q, p, t) = p\dot{q} - L(q, \dot{q}, t)$$

basis for quantization



Hamilton eqs. of motion $\dot{q} = \frac{\partial H}{\partial p}, \quad \dot{p} = -\frac{\partial H}{\partial q}$

Equivalence of the 3 formulations of classical mechanics

Particle with coordinate $x=q$ in 1-dim. potential $V(x)$

Newton	Lagrange	Hamilton
$F = m\ddot{x}$ $-\frac{\partial V}{\partial x} = m\ddot{x}$	$L = T - V$ $L = \frac{1}{2}m\dot{x}^2 - V(x)$ $\frac{d}{dt} \left(\frac{\partial L}{\partial \dot{x}} \right) - \left(\frac{\partial L}{\partial x} \right) = 0$	$p = \frac{\partial L}{\partial \dot{q}} = m\dot{x}$ $H(q, p) = p\dot{q} - L$ $= m\dot{x}^2 - \frac{m\dot{x}^2}{2} + V(x)$ $= \frac{p^2}{2m} + V(x)$ $\dot{p} = -\frac{\partial H}{\partial q} = -\frac{\partial V}{\partial x}$
$0 = -\frac{\partial V}{\partial x} - m\ddot{x}$	$0 = -\frac{\partial V(x)}{\partial x} - m\ddot{x}$	$0 = -\frac{\partial V}{\partial x} - m\ddot{x}$

Lagrangian in an electromagnetic field

Task: Set up Hamilton function! Needs Lagrange function L

→ Not known for non-conservative force!

→ But Newtonian eqs. of motion known!



Set up L in terms of Φ and $\underline{A}$ such that resulting Lagrange eqs. of motion are equivalent

Lorentz force

$$\underline{F}^{\text{tot}} = z(\underline{E} + \underline{v} \times \underline{B})$$

Newton's equation with Lorentz force and potentials

$$m\ddot{\underline{r}} = z \left(-\nabla\Phi - \frac{\partial \underline{A}}{\partial t} \right) + z\underline{v} \times (\nabla \times \underline{A})$$

Lagrange function that leads to Newton's equation

$$L = \frac{mv^2}{2} - z\Phi + z\underline{v} \cdot \underline{A}$$

Hamiltonian in an electromagnetic field

Define conj. **canonical momentum**:

$$L = \frac{mv^2}{2} - z\Phi + z\underline{v} \cdot \underline{A}$$

$$p = \frac{\partial L}{\partial \dot{q}} = m\underline{v} + z\underline{A}$$

Legendre trafo to get Hamilton function

no longer just $m\underline{v}$!

$$H(q, p, t) = p\dot{q} - L$$

$$= (m\underline{v} + z\underline{A})\underline{v} - \frac{m\underline{v}^2}{2} + z\Phi - z\underline{v} \cdot \underline{A}$$

$$= \frac{m\underline{v}^2}{2} + z\Phi$$

No dependence on $\underline{A}$

Express using **canonical momentum**

$$= \frac{(p - z\underline{A})^2}{2m} + z\Phi$$

Basis for quantization!

Hamiltonian in an electromagnetic field

$$H = \frac{(p - z\underline{A})^2}{2m} + z\Phi$$

For electrons: $z=-e$, in atomic units: $z=-1$, $m=m_e=1$. Quantize!

$$\hat{p} = -i\nabla$$

Comment: no
quantization of fields
here

$$\begin{aligned}\hat{H} &= \frac{(\hat{p} + \underline{A})^2}{2} - \Phi \\ &= \frac{\hat{\pi}^2}{2} - \Phi\end{aligned}$$

$$\hat{\pi} = \hat{p} + \underline{A}$$

kinetic momentum

Non-relativistic **Hamiltonian** for electron in **electromagnetic field**!

Spin contribution in electromagnetic field

- Schrödinger equation does not contain spin
- Ad-hoc introduction of spin by Pauli (1927)

$$\hat{H} = \frac{(\underline{\sigma}\hat{\pi})^2}{2} - \Phi$$

vector of the Pauli spin matrices (2x2) $\underline{\sigma} = \begin{pmatrix} \sigma_x \\ \sigma_y \\ \sigma_z \end{pmatrix}$ $\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$

$$(\underline{\sigma}\hat{\pi})^2 = \hat{\pi}^2 + i\underline{\sigma}(\hat{\pi} \times \hat{\pi}) \quad \text{Dirac identity}$$

$$= \hat{\pi}^2 + i\underline{\sigma}(\underbrace{\hat{p} \times \hat{p}}_0 + \underbrace{\underline{A} \times \underline{A}}_0 + \hat{p} \times \underline{A} + \underline{A} \times \hat{p})$$

$$\hat{p} = -i\nabla \quad \hat{p} \times \underline{A}f = (\hat{p} \times \underline{A})f - \underline{A} \times \hat{p}f$$

$$= \hat{\pi}^2 + \underbrace{\underline{\sigma}(\nabla \times \underline{A})}_B$$

$\sigma = 2\hat{s}$

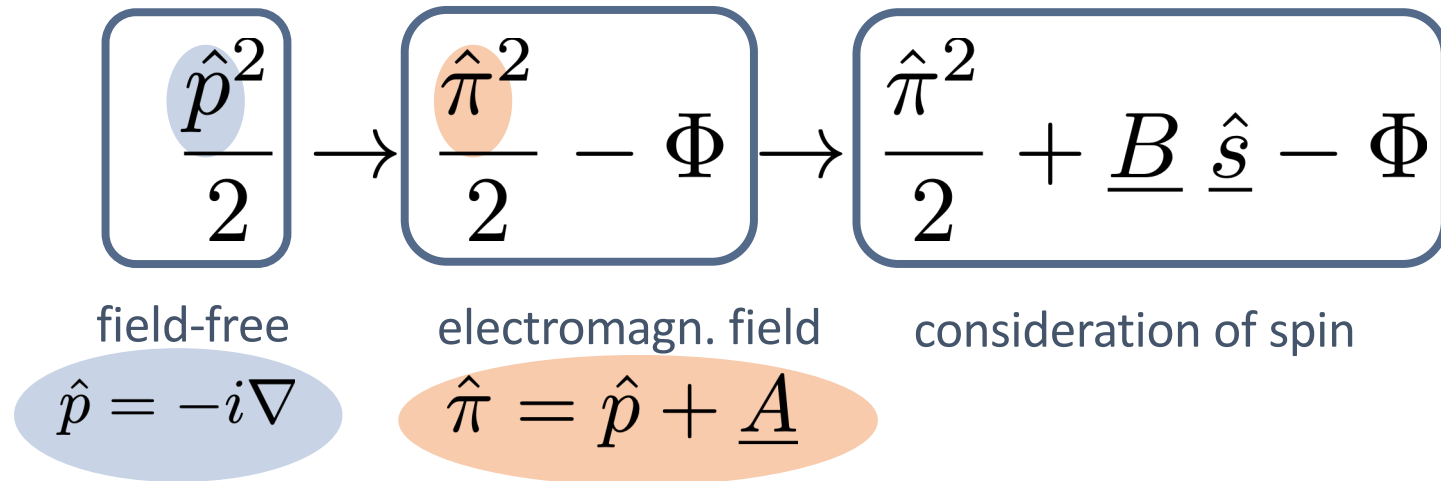
$$\hat{H} = \frac{\hat{\pi}^2}{2} + \underline{B}\hat{s} - \Phi$$

Spin contribution in electromagnetic field

$$\boxed{H = \frac{(\underline{\sigma}\pi)^2}{2} - \Phi} \xrightarrow{\sigma_i = 2\hat{s}_i} \boxed{H = \frac{\pi^2}{2} + \underline{B}\hat{s} - \Phi}$$

- **same result** is obtained when taking **non-relativistic limit** ($c \rightarrow \infty$) of the (modified) **Dirac equation**
 - arguments whether or not spin is a relativistic effect
 - see Trond's lectures
- Note: magnetic field defines spin-quantization axis. Spin parallel or antiparallel wrt field (α & β).

Hamiltonian in electromagnetic field



- Expanding $\hat{\pi}^2$

$$\begin{aligned}\hat{\pi}^2 &= (\hat{p} + \underline{A})^2 \\ &= \hat{p}^2 + \underline{A}^2 + \hat{p}\underline{A} + \underline{A}\hat{p}\end{aligned}$$

be aware that the **momentum operator** does in general **not** commute with the **vector potential**!

Hamiltonian in electromagnetic field

$$\boxed{\frac{\hat{p}^2}{2}} \rightarrow \boxed{\frac{\hat{\pi}^2}{2} - \Phi} \rightarrow \boxed{\frac{\hat{\pi}^2}{2} + \underline{B} \hat{s} - \Phi}$$

field-free

$$\hat{p} = -i\nabla$$

electromagn. field

$$\hat{\pi} = \hat{p} + \underline{A}$$

consideration of spin

- Expanding $\hat{\pi}^2$

$$\hat{\pi}^2 = (\hat{p} + \underline{A})^2$$

$$= \hat{p}^2 + \underline{A}^2 + \hat{p}\underline{A} + \underline{A}\hat{p}$$

$$= \hat{p}^2 + \underline{A}^2 + 2\underline{A}\hat{p}$$

$$\hat{p}\underline{A}f = (\hat{p}\underline{A})f + \underline{A}\hat{p}f$$

Coulomb gauge! $\nabla \underline{A} = 0$

$$\hat{H} = \frac{1}{2}\hat{p}^2 + \underline{A}\hat{p} + \underline{B}\hat{s} + \frac{1}{2}\underline{A}^2 - \Phi$$

Hamiltonian

- Goal: Derive molecular Hamiltonian in electromagnetic field
- So far: Hamiltonian for 1 electron via use of the equivalence of Newtonian and Lagrange eqs. of motion:
 - Find L such that Lagrange eqs. of motion yield

$$\underline{F}^{\text{tot}} = z(\underline{E} + \underline{v} \times \underline{B})$$

$$m\ddot{\underline{r}} = z \left(-\nabla\Phi - \frac{\partial \underline{A}}{\partial t} \right) + z\underline{v} \times (\nabla \times \underline{A})$$

- Determine p and set up Hamilton function H

- Quantize
$$\hat{H} = \frac{(\hat{p} + \underline{A})^2}{2} - \Phi$$

- Consideration of spin and using Coulomb gauge

$$\hat{H} = \frac{1}{2}\hat{p}^2 + \underline{A}\hat{p} + \underline{B}\hat{s} + \frac{1}{2}\underline{A}^2 - \Phi$$

Molecular Hamiltonian in electromagnetic field

$$\hat{H} = \frac{1}{2}\hat{p}^2 + \underline{A}\hat{p} + \underline{B}\hat{s} + \frac{1}{2}\underline{A}^2 - \Phi$$

So far:

- 1 Electron in electromagnetic field

Now: Molecular Hamiltonian! (adding instantaneous Coulomb interaction, summing over all electrons)

$$\begin{aligned}\hat{H} = & \sum_{\alpha} \frac{1}{2}\hat{p}_{\alpha}^2 - \sum_{K\alpha} \frac{Z_K}{r_{\alpha K}} + \sum_{\alpha < \beta} \frac{1}{r_{\alpha\beta}} \\ & + \sum_{\alpha} \underline{A}_{\alpha}\hat{p}_{\alpha} + \sum_{\alpha} \underline{B}_{\alpha}\hat{s}_{\alpha} - \sum_{\alpha} \Phi_{\alpha} \\ & + \frac{1}{2} \sum_{\alpha} \underline{A}_{\alpha}^2\end{aligned}$$

*Zeroth-order Hamiltonian
(non-relativistic)*

First-order Hamiltonian

Second-order Hamiltonian

Magnetic perturbations

$$\hat{H} = \hat{H}_0 + \underbrace{\sum_{\alpha} \underline{A}_{\alpha} \hat{p}_{\alpha}}_{\substack{\text{Orbital Zeeman} \\ \text{paramagnetic}}} + \underbrace{\sum_{\alpha} \underline{B}_{\alpha} \hat{s}_{\alpha}}_{\substack{\text{Spin Zeeman} \\ \text{paramagnetic}}} - \sum_{\alpha} \Phi_{\alpha} + \underbrace{\frac{1}{2} \sum_{\alpha} \underline{A}_{\alpha}^2}_{\text{diamagnetic}}$$

- 1 atomic unit for B , B_0 , corresponds to 235000 Tesla!
- 1 B_0 is very large in comparison to any field we would typically apply
- due to the “smallness” of typical magnet fields on Earth, perturbative treatment often sufficient

Magnetic perturbations

- For quantum chemistry with magnetic fields, we are typically interested in:
 - Uniform external magnetic field, with vector potential

$$\underline{A}^{\text{ext}} = \frac{1}{2} \underline{B}^{\text{ext}} \times \underline{r} \quad \text{Or } \underline{r} - \underline{R}_O \rightarrow \underline{A}_O \quad \rightarrow \text{Zeeman interactions}$$

- Nuclear magnetic moments M_K with vector potential

$$\underline{A}^{\text{nuc}} = \sum_K \underline{A}_K = \sum_K \alpha^2 \frac{\underline{M}_K \times \underline{r}_K}{r_K^3} \quad \alpha \approx 1/137 \text{ fine structure constant} \quad \rightarrow \text{Hyperfine interactions}$$

- Combination: NMR

Hamiltonian for static uniform magnetic field

Electronic Hamiltonian in static homogeneous magnetic field (in a.u.)

$$\hat{H} = \frac{1}{2}\hat{p}^2 + \underline{A}\hat{p} + \underline{B}\hat{s} + \frac{1}{2}\underline{A}^2$$

$$\underline{B} = \text{const}$$

$$\underline{B} = \nabla \times \underline{A}$$

$$\underline{A}_O(\underline{r}) = \frac{1}{2}(\underline{B} \times \underline{r}_O)$$

$$\Phi = 0$$

$$\nabla \underline{A}_O = 0$$

Rewrite terms with vector potential $\underline{A}$ in terms of $\underline{B}$

Hamiltonian in uniform magnetic field

$$\hat{H} = \frac{1}{2}\hat{p}^2 + \underline{A}\hat{p} + \underline{B}\hat{s} + \frac{1}{2}\underline{A}^2$$

Linear term (orbital Zeeman)

$$\underline{A}_O\hat{p} = \frac{1}{2}(\underline{B} \times \underline{r}_O) \cdot \hat{p} = \frac{1}{2}\underline{B} \cdot (\underline{r}_O \times \hat{p}) = \frac{1}{2}\underline{B} \cdot \hat{l}^O$$

Angular momentum operator, dependent on gauge origin

Quadratic term (diamagnetic)

$$\begin{aligned}\frac{1}{2}\underline{A}_O^2 &= \frac{1}{8}(\underline{B} \times \underline{r}_O)^2 = \frac{1}{8}(\underline{B} \times \underline{r}_O)(\underline{B} \times \underline{r}_O) = \frac{1}{8}[B^2 \cdot r_O^2 - (\underline{B} \underline{r}_O)(\underline{B} \underline{r}_O)] \\ &= \frac{1}{8}\underline{B}^T[\underline{r}_O \cdot \underline{1} - \underline{r}_O \underline{r}_O^T]\underline{B}\end{aligned}$$

It follows for the Hamiltonian

$$\hat{H} = \frac{1}{2}\hat{p}^2 + \frac{1}{2}\underline{B}l^O + \underline{B}s = \frac{1}{8}\underline{B}^T[\underline{r}_O \cdot \underline{1} - \underline{r}_O \underline{r}_O^T]\underline{B}$$

Hamiltonian in uniform magnetic field

$$\hat{H} = \frac{1}{2}\hat{p}^2 + \underline{A}\hat{p} + \underline{B}\hat{s} + \frac{1}{2}\underline{A}^2$$

$$\hat{H} = \frac{1}{2}\hat{p}^2 + \frac{1}{2}\underline{B} \underline{l}^O + \underline{B} \hat{s} + \frac{1}{8}\underline{B}^T [\underline{r}_O \cdot \underline{1} - \underline{r}_O \underline{r}_O^T] \underline{B}$$

Molecular Hamiltonian

$$\hat{H} = \hat{H}_0 + \underbrace{\sum_{\alpha} \frac{1}{2}\underline{B} \underline{l}_{\alpha}^O + \sum_{\alpha} \underline{B} \hat{s}_{\alpha}}_{\hat{H}^{(1)}} + \underbrace{\frac{1}{8} \sum_{\alpha} \underline{B}^T [\underline{r}_O(\alpha) \cdot \underline{1} - \underline{r}_O(\alpha) \underline{r}_O^T(\alpha)] \underline{B}}_{\hat{H}^{(2)}}$$

$\hat{H}^{(1)}$

Linear in B

$\hat{H}^{(2)}$

Quadratic in B

Take-Home messages from lecture 2

- For non-conservative forces, we cannot get the potential simply from $F = -\nabla V$
- Hamiltonian that involves electromagnetic-field interactions from Lorentz force $\rightarrow$ Newtonian mechanics $\rightarrow$ Lagrange $\rightarrow$ Hamilton $\rightarrow$ quantize
- Ad-hoc: spin $\rightarrow$ leads to spin-Zeeman contribution
- Observable: fields (E & B), not observable (A & Φ), gauge transformations allowed
- Static magnetic field: Free choice of gauge origin